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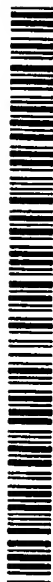
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**WO 01/12857 A2**

(54) Title: METHODS OF SURVEYING FOR CC (BETA) CHEMOKINE RECEPTOR VARIANTS AND THEIR ASSOCIATION WITH HIV-1 TRANSMISSION AND/OR DISEASE PROGRESSION

(57) Abstract:

**METHODS OF SURVEYING FOR CC (BETA) CHEMOKINE  
RECEPTOR VARIANTS AND THEIR ASSOCIATION WITH HIV-1  
TRANSMISSION AND/OR DISEASE PROGRESSION**

5

**BACKGROUND OF THE INVENTION**

Cross-reference to Related Application

10           This non-provisional patent application claims benefit  
of provisional patent application U.S. Serial number 60/148,530  
filed August 12, 1999, now abandoned.

Federal Funding Legend

15           This invention was produced in part using funds  
obtained through grants AI41951, AI40591 and AI42454 from  
NIAID, and grant DA04347 from NIDA. Consequently, the federal  
government has certain rights in this invention.

20   Field of the Invention

          The present invention relates generally to genotyping  
CCR alleles in individuals and populations. More specifically, the  
present invention relates to methods of correlating CCR alleles  
and/or genotypes, specifically CCR5 promoter alleles, with HIV-1  
25   transmission and/or disease progression.

Description of the Related Art

“Chemokine” describes a closely related family of ‘chemotactic cytokines’ with conserved sequences, known to be potent attractors for various leukocyte subsets such as neutrophils, monocytes, or lymphocytes. Chemokines are a large superfamily consisting of four subfamilies that display between two and four highly conserved NH<sub>2</sub>-terminal cysteine amino acid residues. The CXC (or  $\alpha$ ) family has the first two NH<sub>2</sub>-terminal cysteines separated by one nonconserved amino acid residue. In contrast, the CC (or  $\beta$ ) family has these cysteines in juxtaposition, and the C (or  $\gamma$ ) family has one lone NH<sub>2</sub>-terminal cysteine residue, while the CX<sub>3</sub>C (or  $\delta$ ) family has these cysteines separated by three intervening amino acids. The large number of chemokines and their receptors, together with the expression of chemokine receptors on cells other than leukocytes (such as epithelial, endothelial and smooth muscle cells) is indicative of the importance of these molecules.

Six receptors for the CC family of chemokines have been identified: CC-CCR1, CC-CCR2, CC-CCR3, CC-CCR4, CC-CCR5 and the duffy blood group antigen. These proteins are seven transmembrane domain G protein-coupled receptors. In general, there is broad overlap in the ligands bound by the CC chemokine receptors. The human CCR5 and CCR2 chemokine receptor genes, which serve as co-receptors with CD4 for HIV, are tightly linked on chromosome 3p21-22, separated by 20 kb.

The complex mechanisms for HIV-1 entry into human CD4<sup>+</sup> cells reflect in part its evolving usage of co-receptors (35). For example, macrophage-tropic HIV-1 isolates infect cells by

binding to the CD4<sup>+</sup> receptor and the *CCR5* co-receptor (36), while T-cell-tropic viruses mainly use *CXCR4* as the co-receptor (37). HIV-1 represents a close relative of simian immunodeficiency virus (SIV) that is naturally found in African primates, including chimpanzees and sooty mangabeys (15). Recent studies have confirmed that HIV-1 originated in the chimpanzee subspecies *Pan troglodytes troglodytes* from Central West Africa (16). Both HIV and SIV prefer *CCR5* as their co-receptor for penetrating CD4<sup>+</sup> cells (17-19), which suggests that *CCR5* is commonly expressed in both human and non-human primates. Therefore, highly conserved transcription factor binding elements may represent a critical mechanism for regulating transcription of the *CCR5* gene, and may serve as appropriate targets for intervention experiments. Additionally, other members of the CCR co-receptor gene family also facilitate viral transmission (38), but they appear to have more restricted cellular distribution (19,39-40).

Recent work in AIDS cohorts has revealed associations between several polymorphisms in the CC (beta) chemokine receptor loci and either variable degrees of protection against HIV-1 transmission or variable evolution of the AIDS that follows (1-4). In Caucasians, homozygosity for a 32-bp deletion ( $\Delta 32$ ) mutation in the *CCR5* coding sequence leads to the absence of cell surface expression of *CCR5*, which confers nearly complete resistance to HIV-1 infection (1,41-44). Another less frequent mutation at nucleotide position 303 of the *CCR5* coding sequence (m303) introduces a premature stop codon that also abolishes surface expression of *CCR5* in Caucasians. Accordingly, an individual carrying both *CCR5*- $\Delta 32$  and m303 is resistant to HIV-1 infection



(45). Carriage of a single copy of *CCR5*- $\Delta$ 32 in the presence of *CCR5* wild-type provides little if any resistance to HIV-1 infection, but does tend to delay the progression of disease (1,4,46), possibly through interference with translocation of the wild-type product (47). Neither the *CCR5*- $\Delta$ 32 nor the *CCR5*-m303 allele is frequent in African and Asian populations (1,43,48). However, African and Asian ethnic groups also show great variability in acquisition and progression of the disease. Population-specific polymorphisms within the *CCR5* gene may exist (49), but none of these have been associated with varying degrees of viral susceptibility (48). The use of *CCR5* as the co-receptor is not altered whether or not additional *CCR5* polymorphisms are present, regardless of the HIV-1 subtype (50).

In addition, extensive heterogeneities have been described in the expression and splicing of *CCR5*, apparently regulated by different *CCR5* promoter alleles (3). More importantly, 2 *CCR5* promoter variants have been associated with contrasting rates of HIV-1 disease progression (5,6). Expression of cell surface *CCR5* also varies widely, even in individuals homozygous for wild type *CCR5* (51,52), indicating differential regulation of *CCR5* production. Therefore, variability in infectivity of primary HIV-1 isolates that prefer *CCR5* as the co-receptor is observed even in wild type individuals. Detailed study of the organization of the *CCR5* gene and its promoter has uncovered extensive heterogeneity in the 5' untranslated region (UTR) of *CCR5* mRNA (13). Some of these polymorphisms may be linked to changes in splicing and may account for continuing uncertainties about the intron and exon boundaries (13-14,53). One *CCR5*

promoter variant (59653T) shows strong linkage disequilibrium with *CCR2b*-64I (2) – a CCR variant previously associated with retarded disease progression (4), and subsequent work has further detailed the relationships of *CCR5* promoter markers to both  
5 *CCR2b* and *CCR5*-Δ32 (3,5,6). More recent association of the 59029G/G genotype with reduced promoter activity (5) also suggests that *CCR5* promoter polymorphisms independently modulate HIV-1 disease progression.

The mechanisms and credibility of these specific  
10 genetic associations are under debate (3,10), especially because some of the CCR variants are tightly linked to each other (2). However, these findings highlight the importance of host genetic factors in HIV/AIDS and may guide development of new measures for the prevention and control of HIV-1-related diseases (7-9).

15 Polymorphisms at the *CCR2b*, *CCR5*, and *CCR5* promoter loci have been analyzed in four ethnic groups, with a special emphasis on the relationships of *CCR5* promoter allelic variants to other well-characterized markers previously associated with different outcomes of HIV-1 transmission and disease progression.  
20 In addition, the same *CCR5* promoter polymorphisms were analyzed in HIV-1-infected Rwandan women, with a special emphasis on the influence of the genetic polymorphisms on HIV-1 disease progression.

The prior art is deficient in methods used to predict  
25 the likelihood and/or probability of HIV-1 transmission and/or disease progression based upon CCR alleles and/or genotypes. The present invention fulfills a long-standing need for the development of a rapid and informative genotyping strategy that can be readily

applied to analyze CCR2, CCR5 and related genetic variants and to evaluate the relationship of each genotype to HIV transmission and disease progression.

5

## SUMMARY OF THE INVENTION

Variability in HIV-1 infection has been associated with genetic variants in the beta-chemokine receptor 5 (*CCR5*) locus. Genetic variations (a 32-bp deletion and a point mutation) in the coding sequence of the HIV-1 co-receptor, *CCR5*, have been shown to confer resistance to HIV-1 infection by depleting *CCR5* expression on the cell surface. While *CCR5* coding sequences have exhibited relatively limited variation, its promoter sequence appears polymorphic in all major populations.

The studies reported herein revealed five major *CCR5* promoter alleles with distributions that differed widely among the four distinct ethnic groups examined. Herein, the methods of the instant invention are used to present evidence that particular genetic variants of the *CCR5* promoter appear to determine the infectability of an individual (*i.e.*, herein, of heterosexual women in Kigali, Rwanda, and injecting drug users in New York, USA). Additionally, the present methods have been used to examine the relationship between the major *CCR5* promoter genotypes and HIV-1 to AIDS disease progression (*i.e.*, among 201 HIV-1-infected Rwandan women). The effects of disease progression-related *CCR2b* and *CCR5* polymorphisms on early HIV-1 viral load were also determined in a cohort of homosexual HIV-1 seroconverters. The methods of the present invention allowed the inventors to

establish an independent and strong linkage between HIV-1 transmission and *CCR5* promoter alleles using these distinct cohorts and further, to validate the methodology of the present invention.

5           One object of the present invention is to provide methods of correlating *CCR* alleles and/or genotypes, specifically *CCR5* promoter alleles, with HIV-1 transmission and/or disease progression.

10           In an embodiment of the present invention, there is provided a method of surveying *CCR* genotypes in a population, comprising the steps of: (a) obtaining biological samples from a representative number of individuals in a population, with each sample being from a different individual, wherein the sample contains genomic DNA; (b) combining a portion of each sample  
15 with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14  
20 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer  
25 combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; (d) separating the amplicons by size, wherein the presence of: a 197 bp amplicon with the control primer

combination is indicative of a CCR5 wildtype coding sequence; a 165 bp amplicon with the control primer combination is indicative of a CCR5-Δ32 coding sequence; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp  
5 amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp amplicon with experimental primer  
10 combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele;  
15 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 &  
20 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with  
25 experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 &

13 and a 309 bp amplicon with experimental primer combination  
SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele;  
(e) determining a CCR genotype for each sample based upon the  
CCR alleles indicated following step (d); and (f) compiling the  
5 genotypes determined in step (e), thereby genotyping the  
representative number of individuals in the population, thereby  
surveying CCR genotypes in the population.

In another embodiment of the present invention, there  
is provided a method of surveying HIV-1 co-receptor CCR alleles in  
10 an individual, comprising the steps of: (a) obtaining a biological  
sample from an individual, wherein the sample comprises genomic  
DNA; (b) combining a portion of the sample with at least one  
experimental primer combination and a control primer  
combination, thereby producing primer-annealed DNA, wherein  
15 the experimental primer combinations are selected from the group  
consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10,  
5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and  
15 & 16, wherein the control primer combination is SEQ ID Nos. 17  
& 18; (c) amplifying the primer-annealed DNA in a reaction,  
20 thereby producing amplicons, wherein reaction conditions for the  
amplification are optimized for sequence-specific amplification,  
wherein each experimental primer combination and the control  
primer combination are predicted to produce one or more  
amplicons having expected sizes in basepairs; and (d) separating  
25 the amplicons by size, wherein the presence of: a 197 bp amplicon  
with the control primer combination is indicative of a CCR5  
wildtype coding sequence; a 165 bp amplicon with the control  
primer combination is indicative of a CCR5- $\Delta$ 32 coding sequence; a

363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp  
5 amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer  
10 combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 &  
15 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with  
20 experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID  
25 Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele.

In yet another embodiment of the present invention, there is provided a method of predicting the disease progression to AIDS in an HIV-1-infected individual, comprising the steps of:

(a) obtaining a biological sample from an individual, wherein the sample comprises genomic DNA; (b) combining a portion of the sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; (d) separating the amplicons by size, wherein the presence of: a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0201/P\*0201; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 &



8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0102/P\*0202; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0201; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0202; wherein a CCR5 promoter genotype of P\*0201/P\*0201 or P\*0102/P\*0202 is predictive of an accelerated rate of AIDS progression in the individual (relative to an individual who does not possess the P\*0201/P\*0201 or P\*0102/P\*0202 genotype), wherein a CCR5 promoter genotype of P\*0101/P\*0201 or

P\*0101/P\*0202 is predictive of a slower rate of AIDS progression in the individual (relative to an individual who does not possess the P\*0101/P\*0201 or P\*0101/P\*0202 genotype).

In still yet another embodiment of the present invention, there is provided a method of predicting the probability of HIV-1 infection in an individual, comprising the steps of: (a) obtaining a biological sample from an individual, wherein the sample comprises genomic DNA; (b) combining a portion of the sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and (d) separating the amplicons by size, wherein the presence of: a 363 bp with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0201/P\*0201; a 363 bp with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp with experimental

primer combination SEQ ID Nos. 5 & 12 and a 309 bp with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0101; wherein a CCR5 promoter genotype of P\*0201/P\*0201 is predictive of a decreased probability of HIV-1 infection in the individual (relative to an individual who does not possess the P\*0201/P\*0201 genotype), wherein a CCR5 promoter genotype of P\*0101/P\*0101 is predictive of an increased probability of HIV-1 infection in the individual (relative to an individual who does not possess the P\*0101/P\*0101 genotype).

In yet another embodiment of the present invention, there is provided a method of correlating CCR genotypes with HIV-1 transmission and/or disease progression, comprising the steps of: (a) obtaining biological samples from a representative number of individuals, wherein each sample is from a different individual, wherein the sample comprises genomic DNA; (b) assessing each individual's HIV-1 status and/or risk of acquiring HIV-1; (c) assigning each individual to a risk group, wherein the assignment is based upon the individual's HIV-1 status and/or risk of acquiring HIV-1; (d) combining a portion of each sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (e) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the

amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and (f) separating  
5 the amplicons by size, wherein the presence of: a 197 bp amplicon with the control primer combination is indicative of a CCR5 wildtype coding sequence; a 165 bp amplicon with the control primer combination is indicative of a CCR5-Δ32 coding sequence; a 363 bp amplicon with experimental primer combination SEQ ID  
10 Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp  
15 amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative  
20 of a P\*0102 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer  
25 combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp

amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID  
5 Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele.

In a further embodiment of the present invention,  
10 there is provided an oligonucleotide selected from the group consisting of SEQ ID Nos. 2-16. Other aspects, features, and advantages of the present invention will be apparent from the following description of the presently preferred embodiments of the invention. These embodiments are given for the purpose of  
15 disclosure.

### BRIEF DESCRIPTION OF THE DRAWINGS

The appended drawings have been included herein so  
20 that the above-recited features, advantages and objects of the invention will become clear and can be understood in detail. These drawings form a part of the specification. The appended drawings illustrate preferred embodiments of the invention and should not be considered to limit the scope of the invention.

25 **Figure 1** shows a genetic map of CCR variants on chromosome 3 and the genotyping strategy of the present invention. **Figure 1A** shows polymorphisms in the coding region

of *CCR2b* and *CCR5*, known as 64V/I and *CCR5* $\Delta$ 32, respectively, occur at nucleotide positions 46295 (based upon GenBank sequence U95626) and from 62036 to 62067, respectively. Nucleotides 59052G and 59530C enclose the region associated with basal and induced *CCR5* promoter activities. **Figure 1B** shows polymorphisms within and slightly beyond the boundaries of the *CCR5* promoter. These polymorphisms define 6 allelic variants in these cohorts (P\*0104 was only observed once in the 69 sequenced samples). Single polymorphic positions (59029 and 59653) and segments (boxed) in this region have been targeted in various studies and the corresponding alternative allele nomenclature is also shown (boxed). **Figure 1C** shows that based upon the various features outlined above, genotyping can be performed utilizing sequence-specific primers (PCR-SSP-based typing) that simultaneously target all major variants in 15 different PCR reactions. The polarity (5'→3') and the 3' terminal sequence of each sequence-specific and general primer used in PCR-SSP are indicated by solid and open arrows, respectively. Three SSP reactions (2b, 2c and 3c, as indicated by broken lines) do not contribute to allele assignment but have the potential to detect new alleles involving the targeted polymorphic sites. The control product in all reactions carries the 32 bp deleted region in the *CCR5* coding sequence.

**Figure 2** shows examples of PCR-SSP-based typing of *CCR5* variants. Four samples (No. 1 to No. 4) are shown with 12 selected SSP reactions (1a, 1b, 2a, 2d, 3a, 3b, 3d, 4a, 4b, 2e, 5a, 5b (from left to right)). Arrows indicate the position of the control product that is present in all reactions. DNA size markers (M)

(New England Biolabs) are also included. Sample No. 1 has the genotype CCR5 wild type WT/WT, CCR2b-64V/I and CCR5 promoter P\*0101/P\*0202; Sample No. 2 has the genotype CCR5 WT/WT, CCR2b-64V/I and CCR5 promoter P\*0102/P\*0202; Sample No. 3 has  
5 the genotype CCR5 WT/WT, CCR2b-64V/I and CCR5 promoter P\*0103/P\*0202; Sample No. 4 has the genotype CCR5 WT/WT, CCR2b-64V/I and CCR5 promoter P\*0201/P\*0202.

Figure 3 shows the allelic frequencies of *CCR2b*-64I, *CCR5*-Δ32, and *CCR5* promoter variants in four ethnic groups from  
10 the Bronx, New York (Caucasians, African-Americans, and Hispanics) and Kigali, Rwanda. The *CCR2b*-64I is invariably linked to *CCR5* promoter allele P\*0202 in all 4 groups, while the Caucasian- and Hispanic-specific *CCR5*-Δ32 is exclusively linked to *CCR5* promoter allele P\*0201 (see Tables 5 & 6). The frequency of  
15 *CCR5*-Δ32 in Caucasian-Americans studied herein was lower than those reported elsewhere.

Figure 4 shows the distribution of *SDF1* and *CCR* genotypes in participants from Kigali, Rwanda and the Bronx, New York. Genotypes that appeared to mediate HIV-1 transmission in  
20 ethnic Africans (African-Americans and Rwandans) are indicated by arrows. Genotypes that appeared to mediate HIV-1 transmission in ethnic Caucasians (Caucasian-Americans and Hispanics) are indicated by asterisks. Genotypes showing associations with HIV-1 transmission in all ethnic groups are  
25 indicated by an arrow and an asterisk.

Figure 5 shows the phylogenetic relationships among *CCR5* promoter sequences from humans (1-5; and see Figure 6), chimpanzees (6), pig-tailed macaques (7), and sooty mangabeys

(8). **Figure 5A** shows *CCR5* promoter sequences from non-human primate species demonstrate nucleotide substitutions in 2 putative transcription-factor-binding (TFB) elements: SIE-like (sis/platelet-derived growth factor-inducible element-like) and TATA-like  
5 (TATA box-like AT-rich sequence). **Figure 5B** shows pair-wise genetic distance matrix calculated using Kimura's two-parameter method (31). The alleles from non-human primates (GenBank accession numbers AF109384, AF115963, AF115964) are identical to human allele P\*0102 at all 5 positions found to be polymorphic  
10 in humans. **Figure 5C** shows phenogram based on maximum likelihood method. Numbers next to branches indicate the frequencies supported by bootstrap re-analyses of the sequence data using both neighbor-joining method (32) in the **PHYLP** package (29) and parsimony method in the **PAUP** package (30).  
15 Grouping of human promoter allele P\*0102 with P\*0103 is not supported by neighbor-joining or parsimony analysis.

**Figure 6** shows the major *CCR5* promoter alleles from humans (1-5) and their reported and predicted relationship to HIV-1 disease progression. **Figure 6A** shows the 5 major *CCR5*  
20 promoter alleles (deposited in GenBank with accession numbers AF109379, AF109380, AF109381, AF109382, AF109383, respectively) found in various human ethnic groups are defined by 5 polymorphic sites in the region spanning nucleotide positions 59029 to 59653 (relative to GenBank accession number U59626).  
25 **Figure 6B** shows relationship between previously recognized *CCR2b*, *CCR5* promoter genotypes and the *CCR5* promoter alleles specified in (4A). The + and - indicate rapid and slow HIV-1 disease progression, respectively, as reported in several studies (4-



6). The predicted effect (+, rapid progression) of the P\*0201/\*0201 genotype can be inferred from the reported studies.

Figure 7 shows polymorphic sites at the *CCR2* and *CCR5* loci. Nomenclature for the *CCR5* upstream ( $P_u$ ) and downstream ( $P_D$ ) sequence differs widely among studies. The alternative numbering of nucleotides is given in parentheses.

Figure 8 shows PCR haplotyping of *CCR5* upstream promoter variants using sequence-specific primers.

Figure 9 shows nucleotides at polymorphic sites of stable CCR (*CCR2-CCR5*) haplotypes.

Figure 10 shows HIV-1 viral load (VL) in people with different genotypes. Figure 10A shows VL according to  $P_u$  58755 (29) A/G and the linked *CCR5-Δ32*. Figure 10B shows VL according to *CCR2-64V/I*. V1, V2 and V3 were three different visits.

Figure 11 shows HIV-1 viral load according to CCR haplotypes.

Figure 12 shows the CCR genotypes exhibiting the most contrasting associations with viral load.

Figure 13 shows the relationship between *CCR5* genotypes and disease progression.

## DETAILED DESCRIPTION OF THE INVENTION

Variability in the natural history of HIV-1 infection has been associated with polymorphic genetic variants in the beta-chemokine receptor 5 (*CCR5*) locus. For instance, genetic variations in the HIV-1 co-receptor, *CCR5*, including a 32-bp

deletion and a point mutation, have been shown to confer resistance to HIV-1 infection by depleting *CCR5* expression on the cell surface. The studies reported herein reveal five major *CCR5* promoter alleles with distributions that differed widely among the four distinct ethnic groups examined. Herein, evidence is presented that genetic variants of the *CCR5* promoter also appear to determine the infectability of heterosexual women in Kigali, Rwanda, and injecting drug users in New York, USA. Additionally, the relationship of the major *CCR5* promoter genotypes with HIV-1 disease progression has been examined among 201 HIV-1-infected Rwandan women. The effects of disease progression-related *CCR2b* and *CCR5* polymorphisms on early HIV-1 viral load were also determined in a cohort of homosexual HIV-1 seroconverters.

In particular, promoter allele P\*0103 (G<sub>59029</sub>-T<sub>59353</sub>-T<sub>59356</sub>-A<sub>59402</sub>-C<sub>59653</sub>, SEQ ID No. 21) was largely restricted to blacks. The promoter allele P\*0202 (A<sub>59029</sub>-C<sub>59353</sub>-C<sub>59356</sub>-A<sub>59402</sub>-T<sub>59653</sub>, SEQ ID No. 23) was tightly linked to the slightly less frequent *CCR2b*-64I, a variant of the *CCR2b* gene which is about 12.7 kb upstream of the promoter region. Another closely related promoter allele P\*0201 (A<sub>59029</sub>-C<sub>59353</sub>-C<sub>59356</sub>-A<sub>59402</sub>-C<sub>59653</sub>, SEQ ID No. 22) exclusively carried the far less common *CCR5*-Δ32, a 32-bp deletion in the *CCR5* coding sequence 2 kb downstream from the promoter. Among human, chimpanzee, pig-tailed macaque, and sooty mangabey promoter allelic sequences, the apparent ancestral lineage of the promoter sequence (G<sub>59029</sub>-T<sub>59353</sub>-C<sub>59356</sub>-A<sub>59402</sub>-C<sub>59653</sub> = human P\*0102, SEQ ID No. 20) was highly conserved across the primate species analyzed here, while P\*0201 and P\*0202 arose more recently than the other 3 major alleles.

Among the various *CCR5* promoter genotypes comprising the five major alleles (P\*0101, P\*0102, P\*0103, P\*0201, and P\*0202), the genotypes involving allele P\*0201 were associated with protection against HIV-1 infection (OR=0.4,  $p<0.001$  in Kigali; OR=0.5,  $p=0.012$  in New York), while the homozygous genotype P\*0101/\*0101 showed the opposite effect (OR=4.7,  $p=0.140$  in Kigali; OR=4.1,  $p=0.002$  in New York). According to multivariate logistic regression models, these observed promoter effects were independent of age, ethnicity and at least seven high-risk behavioral factors known to influence HIV-1 infection in the two cities: in Kigali, HIV-1 infection =  $6.21 + 0.68 \times (\text{risk score}) - 0.13 \times \text{age} - 0.79 \times (\text{CCR5 promoter genotypes involving P*0201})$ ; in New York, HIV-1 infection =  $1.60 + 0.31 \times (\text{needle sharing}) - 0.06 \times \text{age} + 1.41 \times (\text{CCR5 promoter genotype P*0101/*0101}) - 0.83 \times (\text{being Caucasian})$ . Differences in the adjusted genetic effects between the two cohorts reflected differences in the distribution of the two mutually exclusive promoter alleles involved.

Two promoter genotypes (designated P\*0201/\*0201 and P\*0102/\*0202) were weakly associated with accelerated HIV-1 disease progression ( $p=0.072$  and  $0.058$ , respectively). In contrast, 2 promoter genotypes involving a common allele P\*0101 (P\*0101/\*0201 and P\*0101/\*0202) were collectively associated with slower disease progression ( $p=0.001$ ,  $p_c=0.015$ ). Both P\*0102/\*0202 and P\*0101/\*0202 genotypes always carried *CCR2b*-64I, but *CCR2b*-64I alone had no detectable effect on disease progression. These findings support and refine some of the earlier observations regarding *CCR5* promoter polymorphisms and further suggest that the previously recognized *CCR2b*-64I relationship is

secondary to the *CCR5* promoter allelic effects. Thus, the present invention establishes an independent linkage between HIV-1 transmission and the genetic variation within the *CCR5* promoter alleles and further establishes a mechanism by which CCR  
5 chemokine receptor polymorphisms governs the initiation and pathogenesis of HIV-1 infection.

The present invention is directed towards methods of correlating CCR alleles and/or genotypes, specifically *CCR5* promoter alleles, with HIV-1 transmission and/or disease  
10 progression in an individual or population.

The present invention is directed towards a method of surveying CCR genotypes in a population, comprising the steps of:  
(a) obtaining biological samples from a representative number of individuals in a population, wherein each sample is from a  
15 different individual, wherein the sample comprises genomic DNA;  
(b) combining a portion of each sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group  
20 consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the  
25 amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; (d) separating the

amplicons by size, wherein the presence of: a 197 bp amplicon with the control primer combination is indicative of a CCR5 wildtype coding sequence; a 165 bp amplicon with the control primer combination is indicative of a CCR5-Δ32 coding sequence; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID

Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele; (e) determining a CCR genotype  
5 for each sample based upon the CCR alleles indicated following step (d); and (f) compiling the genotypes determined in step (e), thereby genotyping the representative number of individuals in the population, thereby surveying CCR genotypes in the population.

The present invention is additionally directed towards a  
10 method of surveying HIV-1 co-receptor CCR alleles in an individual, comprising the steps of: (a) obtaining a biological sample from an individual, wherein the sample comprises genomic DNA; (b) combining a portion of the sample with at least one experimental primer combination and a control primer  
15 combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17  
20 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more  
25 amplicons having expected sizes in basepairs; and (d) separating the amplicons by size, wherein the presence of: a 197 bp amplicon with the control primer combination is indicative of a CCR5 wildtype coding sequence; a 165 bp amplicon with the control

primer combination is indicative of a CCR5-Δ32 coding sequence; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with

experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele.

The present invention is further directed towards a method of predicting the disease progression to AIDS in an HIV-1-  
5 infected individual, comprising the steps of: (a) obtaining a biological sample from an individual, wherein the sample comprises genomic DNA; (b) combining a portion of the sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA,  
10 wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a  
15 reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in  
20 basepairs; (d) separating the amplicons by size, wherein the presence of: a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 &  
25 16 is indicative of a CCR5 promoter genotype of P\*0201/P\*0201; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with



experimental primer combination SEQ ID Nos. 5 & 13, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0102/P\*0202; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0201; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0202; wherein a CCR5 promoter genotype of P\*0201/P\*0201 or P\*0102/P\*0202 is predictive of an accelerated rate of AIDS progression in the individual (relative to an individual who does

not possess the P\*0201/P\*0201 or P\*0102/P\*0202 genotype), wherein a CCR5 promoter genotype of P\*0101/P\*0201 or P\*0101/P\*0202 is predictive of a slower rate of AIDS progression in the individual (relative to an individual who does not possess  
5 the P\*0101/P\*0201 or P\*0101/P\*0202 genotype).

The present invention is also directed towards a method of predicting the probability of HIV-1 infection in an individual, comprising the steps of: (a) obtaining a biological sample from an individual, wherein the sample comprises genomic  
10 DNA; (b) combining a portion of the sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein the experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10,  
15 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the control primer combination is SEQ ID Nos. 17 & 18; (c) amplifying the primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification,  
20 wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and (d) separating the amplicons by size, wherein the presence of: a 363 bp with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp with  
25 experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0201/P\*0201; a 363 bp with experimental primer combination SEQ ID Nos. 5 & 7, a 367

bp with experimental primer combination SEQ ID Nos. 5 & 10, a  
412 bp with experimental primer combination SEQ ID Nos. 5 & 12  
and a 309 bp with experimental primer combination SEQ ID Nos.  
14 & 16 is indicative of a CCR5 promoter genotype of  
5 P\*0101/P\*0101; wherein a CCR5 promoter genotype of  
P\*0201/P\*0201 is predictive of a decreased probability of HIV-1  
infection in the individual (relative to an individual who does not  
possess the P\*0201/P\*0201 genotype), wherein a CCR5 promoter  
genotype of P\*0101/P\*0101 is predictive of an increased  
10 probability of HIV-1 infection in the individual (relative to an  
individual who does not possess the P\*0101/P\*0101 genotype).

The present invention is yet further directed towards a  
method of correlating CCR genotypes with HIV-1 transmission  
and/or disease progression, comprising the steps of: (a) obtaining  
15 biological samples from a representative number of individuals,  
wherein each sample is from a different individual, wherein the  
sample comprises genomic DNA; (b) assessing each individual's  
HIV-1 status and/or risk of acquiring HIV-1; (c) assigning each  
individual to a risk group, wherein the assignment is based upon  
20 the individual's HIV-1 status and/or risk of acquiring HIV-1; (d)  
combining a portion of each sample with at least one experimental  
primer combination and a control primer combination, thereby  
producing primer-annealed DNA, wherein the experimental primer  
combinations are selected from the group consisting of SEQ ID  
25 Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 &  
13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein the  
control primer combination is SEQ ID Nos. 17 & 18; (e) amplifying  
the primer-annealed DNA in a reaction, thereby producing

amplicons, wherein reaction conditions for the amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and the control primer combination are predicted to produce one or more amplicons  
5 having expected sizes in basepairs; and (f) separating the amplicons by size, wherein the presence of: a 197 bp amplicon with the control primer combination is indicative of a CCR5 wildtype coding sequence; a 165 bp amplicon with the control primer combination is indicative of a CCR5-Δ32 coding sequence; a  
10 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 &  
15 16 is indicative of a P\*0101 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with  
20 experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele; a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ  
25 ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele; a 363 bp amplicon with

experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele;  
5 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele; (g) determining a CCR genotype  
10 for each sample based upon the CCR alleles indicated following step (f); and (h) analyzing the genotypes determined in step (g), thereby genotyping the representative number of individuals with respect to the risk group assigned each individual, thereby correlating CCR genotypes with HIV-1 transmission and/or disease  
15 progression. Representative biological samples include blood, serum, saliva, semen, tissue biopsy and isolated DNA. Generally, separation is by gel electrophoresis, but may also be by means such as size fractionation. A preferred method of amplification includes polymerase chain reaction (PCR). Typical means of  
20 analysis include two-tailed Fisher's exact test, multiple logistic regression analysis, univariate analysis and multivariate analyses.

The present invention is additionally directed towards the oligonucleotides shown in SEQ ID Nos. 2-16.

25 In accordance with the present invention, there may be employed conventional molecular biology, microbiology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, *e.g.*, Sambrook, Fritsch & Maniatis, "Molecular Cloning: A Laboratory

Manual (2nd Ed.)", (1989); "DNA Cloning: A Practical Approach,"  
Volumes I and II (D.N. Glover ed. 1985); "Oligonucleotide  
Synthesis" (M.J. Gait ed. 1984); "Nucleic Acid Hybridization" [B.D.  
Hames & S.J. Higgins eds. (1985)]; "Transcription and Translation"  
5 [B.D. Hames & S.J. Higgins eds. (1984)]; "Animal Cell Culture" [R.I.  
Freshney, ed. (1986)]; "Immobilized Cells And Enzymes" [IRL Press,  
(1986)]; B. Perbal, "A Practical Guide To Molecular Cloning"  
(1984). Therefore, if appearing herein, the following terms shall  
have the definitions set out below.

10 A "DNA molecule" refers to the polymeric form of  
deoxyribonucleotides (adenine, guanine, thymine, or cytosine) in  
its either single stranded form, or a double-stranded helix. This  
term refers only to the primary and secondary structure of the  
molecule, and does not limit it to any particular tertiary forms.  
15 Thus, this term includes double-stranded DNA found, *inter alia*, in  
linear DNA molecules (*e.g.*, restriction fragments), viruses,  
plasmids, and chromosomes. In discussing the structure herein,  
the normal convention of giving only the sequence in the 5' to 3'  
direction along the nontranscribed strand of DNA is used (*i.e.*, the  
20 strand having a sequence homologous to the mRNA).

A DNA "coding sequence" is a double-stranded DNA  
sequence which is transcribed and translated into a polypeptide *in*  
*vivo* when placed under the control of appropriate regulatory  
sequences. The boundaries of the coding sequence are determined  
25 by a start codon at the 5' (amino) terminus and a translation stop  
codon at the 3' (carboxyl) terminus. A coding sequence can  
include, but is not limited to, prokaryotic sequences, cDNA from  
eukaryotic mRNA, genomic DNA sequences from eukaryotic (*e.g.*,

mammalian) DNA, and even synthetic DNA sequences. A polyadenylation signal and transcription termination sequence will usually be located 3' to the coding sequence. Transcriptional and translational control sequences are DNA regulatory sequences, such as promoters, enhancers, polyadenylation signals, terminators, and the like, that provide for the expression of a coding sequence in a host cell. A "cDNA" is defined as copy-DNA or complementary-DNA, and is a product of a reverse transcription reaction from an mRNA transcript.

10 A "promoter sequence" is a DNA regulatory region capable of binding RNA polymerase in a cell and initiating transcription of a downstream (3' direction) coding sequence. Within the promoter sequence will be found a transcription initiation site, as well as protein binding domains (consensus sequences) responsible for the binding of RNA polymerase.

15 As used herein, the terms "restriction endonucleases" and "restriction enzymes" refer to enzymes which cut double-stranded DNA at or near a specific nucleotide sequence.

The term "oligonucleotide" is defined as a molecule comprised of two or more deoxyribonucleotides, preferably more than three. Its exact size will depend upon many factors which, in turn, depend upon the ultimate function and use of the oligonucleotide. The term "primer" as used herein refers to an oligonucleotide, whether occurring naturally as in a purified restriction digest or produced synthetically, which is capable of acting as a point of initiation of synthesis when placed under conditions in which synthesis of a primer extension product, which is complementary to a nucleic acid strand, is induced, *i.e.*, in the

presence of nucleotides and an inducing agent such as a DNA polymerase and at a suitable temperature and pH. The primer may be either single-stranded or double-stranded and must be sufficiently long to prime the synthesis of the desired extension  
5 product in the presence of the inducing agent. The exact length of the primer will depend upon many factors, including temperature, source of primer and the method used. For example, for diagnostic applications, depending on the complexity of the target sequence, the oligonucleotide primer typically contains 15-25 or  
10 more nucleotides to maintain specificity, although it may contain fewer nucleotides.

Primers are selected to be "substantially" complementary to different strands of a particular target DNA sequence. This means that the primers must be sufficiently  
15 complementary to hybridize with their respective strands. Therefore, the primer sequence need not reflect the exact sequence of the template. For example, a non-complementary nucleotide fragment may be attached to the 5' end of the primer, with the remainder of the primer sequence being complementary  
20 to the strand. Alternatively, non-complementary bases or longer sequences can be interspersed into the primer, provided that the primer sequence has sufficient complementarity with the sequence or hybridize therewith and thereby form the template for the synthesis of the extension product.

25 As used herein, the term "allele" is defined as the combination of polymorphic nucleotides at a given site on a chromosome.



As used herein, the term "genotype" is defined as the combination of two alleles in a given individual.

As used herein, the term "sequence-specific amplification" refers to PCR using sequence-specific primers that  
5 only produce amplicon(s) when the target sequence is present.

The following examples are given for the purpose of illustrating various embodiments of the invention and are not meant to limit the present invention in any fashion:

10

### **EXAMPLE 1**

#### **Human subjects**

Subjects from 2 established cohorts were studied; one in Kigali, Rwanda, and the other in New York City. Sociodemographic characteristics and epidemiological findings  
15 have been described elsewhere for these cohorts (20-23). The Kigali cohort (20,21) included 202 seropositive women with adequate follow-up since 1986. One of these subjects did not yield complete genotyping data and was excluded from further analysis. The cohort additionally included 77 seronegative women.  
20 Categorization of subjects were based on their clinical outcomes in combination with laboratory findings as defined by the Kigali staging system (21). Three subgroups of Kigali's were established: 15 rapid progressors (RPs) who died from AIDS in <6 years; 100 slow progressors (SPs) who remained asymptomatic for >10 years,  
25 and subjects that could not be classified definitively based on disease progression were treated as indeterminants (n=53) and combined with intermediate progressors (n=33) who had less

extreme clinical outcome in the period of 6-10 years after seroconversion.

The clinical and epidemiologic categorization of the subset of heterosexual HIV-1-positive Rwandan women available for genetic studies have been summarized. For the 77 seronegative and the 201 seropositive women, the following risk factors were analyzed: 1) currently living alone but having multiple sexual partners or currently living with a single partner but both partners having extramarital affairs; 2) history of sexually transmitted disease in the past five years; 3) having multiple lifetime sexual partners; and 4) no condom use during sex in the last 2 years.

The Bronx, New York cohort consisted of subsets of injecting drug users recruited from three ethnic groups, including 58 Caucasians, 135 Hispanics, and 35 African-Americans (22,23). From the New York cohort of injecting drug users, 141 individuals were selected who remained seronegative despite high risk (based on a combination of the following non-exclusive risk behaviors) and 87 who became infected despite relatively little such risk or exposure: 1) having sex with another injecting drug user; 2) sharing needles in shooting galleries; 3) attending shooting galleries; and 4) sharing needles with strangers. Men having sex with other men was another risk factor observed in the overall New York cohort, but not in the subset of subjects studied here. Studies in both cohorts conformed to the procedures for informed consent approved by local and/or sponsoring institutional review boards.

## **EXAMPLE 2**

### **Primate species**

Non-human primates, including 2 chimpanzees (*Pan troglodytes*), 2 pig-tailed macaques (*Macaca nemestrina*), and 2 sooty mangabeys (*Cercocebus atys*) were studied for their *CCR5* promoter polymorphisms. Their *CCR5* promoter sequences were amplified by PCR and analyzed by automated sequencing as for human samples.

## **EXAMPLE 3**

### **Genotyping materials**

DNA samples used throughout the study were extracted from whole buffy coats or precipitates of cervicovaginal fluids, using the standard salting out procedures (24,25) and QIAamp blood kit (QIAGEN Inc., Chatsworth, Calif., USA), respectively.

## **EXAMPLE 4**

### **Genotyping procedures**

Genotyping of *CCR5*- $\Delta$ 32 by PCR amplification size polymorphism and *CCR2b*-64I by PCR-RFLP was performed (1,4). Typing of *CCR5* promoter variants was initially achieved through automated sequencing of PCR-amplified products. The *CCR5*- $\Delta$ 32 and *CCR2b*-64I are approximately 2 kb downstream and 12.7 kb upstream, respectively, from the *CCR5* downstream promoter that spans nucleotide 59052G (in the extended loci as defined by GenBank sequence U59626) to 59530C (13).

Typing of *CCR5* promoter variants was initially achieved through automated sequencing of PCR-amplified products

corresponding to nucleotide position 59012G to 59943G. Briefly, the design of allele-specific PCR amplification primers took advantage of the dimorphic site at nucleotide position 59029 (relative to Genbank accession number U95626), 20 bp upstream  
5 from the first nucleotide of the minimum CCR5 promoter segment (14). Two separate PCR reactions were performed for each sample: the first using the 59029G-specific forward primer (nucleotides 59012G→59029G) and common reverse primer (CCR5P-COM3N, 59925G←59943G), the second using the 59029A-  
10 specific forward primer (59012G→59029A) and primer CCR5P-COM3N.

PCR conditions were optimized to allow sequence-specific amplification determined by the 59029G/A-specific primers: each 12.5 µl PCR consisted of 1X buffer C (60 mM Tris-  
15 HCl (pH 8.5), 15 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 2.5 mM MgCl<sub>2</sub>), 0.3 units of AmpliTaq polymerase, together with 0.5 µM of each primer, 80 ng genomic DNA, 0.2 mM each of dGTP, dCTP, dTTP and dATP. The PCR mix was subjected to 10 cycles of denaturing at 95°C for 25 sec, annealing at 59°C for 40 sec, and extension at 72°C for 50 sec,  
20 followed by 23 cycles of denaturing at 95°C for 25 sec, annealing at 55°C for 40 sec, and extension at 72°C for 50 sec. Samples yielding a 932-bp amplicon were diluted 1:40 in TE buffer (10 mM Tris-HCl (pH 8.0), 2 mM EDTA) and 1.0 µl of the diluted product served as template for a 50-µl second-round PCR with a  
25 biotinylated reverse primer (CCR5P-COMR, 59709T←59729T) and a forward M13 (18 mer)-tailed primer (M13-CCR5P, 59012G→59028G).

After a further 22 cycles of denaturing at 95°C for 25 sec, annealing at 59°C for 40 sec, and extension at 72°C for 50 sec, the resulting products were bound to streptavidin-coated combs and single-stranded templates were generated and sequenced using  
5 a Cy5-labelled universal M13 primer (5'-ACA GGA AAC AGC TAT GAC-3'; SEQ ID No. 1), a Cy5-labelled internal primer (CCR5PSBT, 59291T→59310A), and the ALFexpress autoload sequencing procedures (Pharmacia Biotech, Inc., Piscataway, NJ, USA). The allelic sequencing strategy outlined above resolved all known  
10 polymorphic sites in the constitutive downstream *CCR5* promoter region without cloning and its attendant problems due to PCR-introduced nucleotide substitution (26). The reliability of data generated by automated sequencing was further confirmed by sequencing the complementary strand.

15 To expedite the genotyping procedures, 15 pairs of sequence-specific primers (SSP) were used to type the 5 major *CCR5* promoter alleles simultaneously with the *CCR5* and *CCR2b* variants (Tables 1 & 2). The final conditions for SSP-based typing were as follows: 1) Each 10 µl PCR solution contained 1X buffer C,  
20 50-70 ng of genomic DNA, 0.3 units of AmpliTaq polymerase (Fisher Scientific, Norcross, GA, USA), 120 nM of each control primer, 250 nM each of specific primer, 0.4 mM each of dGTP, dCTP, dTTP and dATP, 10% (v/v) glycerol, and 0.02% cresol red; 2) PCR cycle reactions were performed on an UNO-thermoblock  
25 (Biometra, Inc., Tampa, FL, USA) for 10 higher-stringency cycles of denaturing at 94°C for 25 sec, annealing at 60°C for 45 sec, and extension at 72°C for 45 sec, followed by 21 additional lower-stringency cycles of denaturing at 94°C for 25 sec, annealing at

57°C for 40 sec, and extension at 72°C for 40 sec; 3) all PCR reactions were done in 96-well microtiter plates, each capable of typing 6 individual samples; 4) before each cycle reaction, PCR began with a denaturing step at 95°C for 2.5 min; 5) all PCR reactions included a final extension step at 72°C for 6 min; 6) half of each PCR reaction product was loaded directly onto a 1.7% agarose gel for electrophoresis. The SSP-banding patterns were recorded on photographs of ethidium bromide-stained gels.

The use of PCR-SSP was limited to the identification of known genetic variants; novel alleles that may exist in different populations could either give rise to novel reaction patterns or be misclassified by the scheme used herein. The 67 samples from Kigali and New York typed by both sequencing and PCR-SSP demonstrated 100% consistency between the 2 methods (Table 3). Thus, new alleles were not encountered even when the number of genotyped samples increased.

**TABLE 1**

Oligo primers used for typing major polymorphisms in CCR2b, CCR5, and the CCR5 downstream promoter region

5	Oligo name	Specificity*	5'→3' sequence (underline=polymorphic)	Annealing position	SEQ ID No.
10	CCR2b-5/1S	CCR2b-64V	tgggcaacatgctggtcg	46278→46295	2
	CCR2b-5/2S	CCR2b-64I	tgggcaacatgctggtca	46278→46295	3
				61619→61636	
	CCR2b-3/1G	general	tggaaaataagggccacagac	46670←46690	4
	CCR5P-5/1S	59029G	gagtggagaaaaaggggg	59012→59029	5
	CCR5P-5/2S	59029A	gagtggagaaaaagggga	59012→59029	6
	CCR5P-3/1S	59353T;59356C	agaatagatctctggtctgaaa	59353←59374	7
	15 CCR5P-3/2S	59353C	agaatagatctctggtctgaag	59353←59374	8
	CCR5P-3/3S	59353T;59356T	gagaatagatctctggtctaaaa	59353←59375	9
	CCR5P-3/4S	59356C	tagagaatagatctctggtctg	59356←59377	10
20	CCR5P-3/5S	59356T	tagagaatagatctctggtcta	59356←59377	11
	CCR5P-3/6S	59402G	agaatcagagaacagttcttc	59402←59423	12
	20 CCR5P-3/7S	59402A	agaatcagagaacagttctct	59402←59423	13
	CCR5P-5/3S	59653C	caggaaacccatagaagac	59635→59653	14
	CCR5P-5/4S	59653T	caggaaacccatagaaga	59635→59653	15
	CCR5P-3/8G	general	gtgggcacataatcagaag	59925←59943	16
	CCR5-SP4G	general	tcattacacctgcagctctc	62004→62023	17
	25 CCR5-PM6G	general	tggtgaagataagcctcac	62182←62200	18

\*The numbering of nucleotide positions is based upon GenBank sequence U95626.

30

**TABLE 2**

Primer mixes used in PCR-SSP-based typing of major polymorphisms in the CCR2b, CCR5 and the CCR5 promoter

	<u>Primer mixes</u>	<u>Specificity<sup>a</sup></u>	<u>Size of PCR amplicon</u>
5	1a=CCR2-5/1S+CCR2-3/1G	CCR2b-64Val	413 bp
	1b=CCR2-5/2S+CCR2-3/1G	CCR2b-64Ile; CCR5	413; 585 bp
	2a=CCR5P-5/1S+CCR5P-3/1S	59029G-59353T	363 bp
10	2b=CCR5P-5/1S+CCR5P-3/2S	59029G-59353C	363 bp
	2c=CCR5P-5/2S+CCR5P-3/1S	59029A-59353T	363 bp
	2d=CCR5P-5/2S+CCR5P-3/2S	59029A-59353C	363 bp
	2e=CCR5P-5/1S+CCR5P-3/3S	59029G-59353T-59356T	364 bp
15	3a=CCR5P-5/1S+CCR5P-3/6S	59029G-59402G	412 bp
	3b=CCR5P-5/1S+CCR5P-3/7S	59029G-59402A	412 bp
	3c=CCR5P-5/2S+CCR5P-3/6S	59029A-59402G	412 bp
	3d=CCR5P-5/2S+CCR5P-3/7S	59029A-59402A	412 bp
	4a=CCR5P-5/3S+CCR5P-3/8G	59653C	309 bp
20	4b=CCR5P-5/4S+CCR5P-3/8G	59653T	309 bp
	5a=CCR5P-5/1S+CCR5P-3/4S	59029G-59356C	367 bp
	5b=CCR5P-5/1S+CCR5P-3/5S	59029G-59356T	367 bp
	Control=		
	CCR5-SP4G+CCR5-PM6G	CCR5	
25		Δ32	165 bp
		WT	197 bp

<sup>a</sup> The numbering of nucleotide positions is based upon GenBank sequence U95626.



**TABLE 3**

Extended CCR5 downstream promoter alleles obtained by allelic sequencing of PCR products derived from 67 selectively sequenced samples

Allele designations	n	59029 sequence	Combinations of polymorphic sites <sup>b</sup>	59653 sequence	SEQ ID No.
CCR5P*0101	15	G	T <sub>59353</sub> -C <sub>59356</sub> -G <sub>59402</sub> -G <sub>59648</sub> (=P4)	C	24
CCR5P*0102	19	G	T <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -G <sub>59648</sub> (=P2)	C	25
CCR5P*0103	21	G	T <sub>59353</sub> -T <sub>59356</sub> -A <sub>59402</sub> -G <sub>59648</sub> (=P3)	C	26
CCR5P*0104	1	G	T <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -A <sub>59648</sub> (=P2)	C	27
CCR5P*0201	22	A	C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -G <sub>59648</sub> (=P1)	C	28
CCR5P*0202	56	A	C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -G <sub>59648</sub> (=P1)	T	29

<sup>a</sup>Allelic sequences P\*0101, P\*0102, P\*0103, P\*0201 and P\*0202 have been deposited in GenBank with Accession numbers AF109379, AF109380, AF109381, AF109382, AF109383, respectively. Allele P\*0104 was only observed once. <sup>b</sup>The numbering of nucleotide positions is based on GenBank sequence U95626. Polymorphism at position 59029 corresponds to the classification of an earlier study (5). <sup>c</sup>This region has also been studied (6) and the alternative allele nomenclature is shown in parentheses.

**EXAMPLE 5**

Linkage analysis of genetic variants at the CCR2b, CCR5, and CCR5 promoter loci

Linkage between allelic variants at different loci are typically estimated with data from families (27), which were not available in the cohorts studied herein. Instead, "apparent" 2-locus linkage was determined by  $\chi^2$  tests for a 2 x 2 table containing the numbers of individuals with both, one without the other, or neither of the 2 markers in question (28). A *p* value documenting a significant association of two alleles (*i.e.*,

suggesting linkage disequilibrium) did not eliminate the possibility of their coincident occurrence on opposite chromosomes. Conversely, fully reliable two-locus haplotypes could be established only when alleles at one of the two loci were  
5 homozygous, and only such haplotypes were accepted for analysis.

#### **EXAMPLE 6**

##### Phylogenetic analyses of *CCR5* promoter sequences

The phylogenetic relationships among allelic sequences  
10 from human and non-human primates species were analyzed using software in the PHYLIP (29) and PAUP (30) packages. All *CCR5* promoter sequences were aligned manually, with gaps introduced to increase alignment of sequences from primates. The final data set contained sequences spanning from nucleotide position 59029  
15 (in the extended loci as defined by GenBank sequence U59626) to 59940.

#### **EXAMPLE 7**

##### Genotyping of *CCR2b-64I* by PCR-RFLP and PCR with sequence-specific primers (SSP)

  
20

The *CCR2b-64* polymorphisms were determined by PCR-RFLP based on an amplification-created restriction site in the 129-bp fragment (4) corresponding to nucleotide positions 46275 to 46403 (relative to U95626). The *CCR2b-64I*-bearing sequence  
25 could be digested by *BsaBI* into two fragments of 18 bp and 111 bp, while the sequence carrying the *CCR2b-64V* was refractory to digestion. To differentiate the *CCR2b-64V* from *CCR2b-64I*, PCR-

SSP was used in two separate PCR reactions with both control and sequence-specific primer sets.

### **EXAMPLE 8**

#### **5    Genotyping of CCR5-Δ32 by PCR amplification size polymorphism**

PCR amplification of the *CCR5* coding sequences corresponding to nucleotide positions 62004-62183 (relative to U95626) differentiated the *CCR5*-Δ32 and wild type alleles (1), producing a 180-bp amplicon for the wild type sequence and a  
10    148-bp product for the deletion sequence. Additional primers were also used to amplify the deletion region for sequencing and size differentiation in a PCR-SSP format.

### **EXAMPLE 9**

#### **15    Simultaneous genotyping of CCR2b, CCR5, and CCR5 promoter polymorphisms based on PCR-SSP**

To facilitate simultaneous PCR-SSP-based typing of the dimorphisms *CCR2b*-64V/I, *CCR5* wild type/*CCR5*-Δ32, and *CCR5* promoter alleles P\*0101, P\*0102, P\*0103, P\*0201 and P\*0202, a  
20    panel of 21 individual primers were selected based upon a) similar melting temperatures; b) no mispriming; c) no strong secondary structure; d) no homodimer/heterodimer formation. The first 12 primer mixes were adequate for the routine genotyping of each sample. Three additional primer mixes were required to confirm  
25    samples carrying CCR5P\*0103, which gave weak positive signals in reaction 2a due to the presence of 59356T. Samples whose *CCR5* promoter variants were genotyped by sequencing and whose *CCR2b* dimorphism was genotyped by PCR-RFLP (4) served as

reference materials for testing the reliability of the PCR-SSP assay. Different thermostable DNA polymerases including *AmpliTaq* (Promega, Madison, WI, USA) and *Platinum Taq* (Life Technologies, Gaithersburg, MD, USA) yielded comparable data sets, showing  
5 100% consistency between PCR-SSP and sequencing and between PCR-SSP and RFLP.

Using the PCR-SSP format, the *CCR5* genotype could be assigned according to the size of the SSP control product amplified by primers flanking the 32-bp deleted region. Confirmatory  
10 product was also available in SSP reaction 1b: primer *CCR2b-5/2S* (also *CCR2b-64I*-specific) and primer *CCR5-PM6G* (*CCR5*-general) in this multiplex reaction amplify a larger fragment spanning the 32-bp deletion region in *CCR5*. As a result, the undeleted wild type *CCR5* sequence gave rise to a 197 bp product in all 12 reactions as  
15 well as a 585 bp product in reaction 1b, while the *CCR5-Δ32* genotype produced 165 bp and 553 bp amplicons in the corresponding reactions. The *CCR5*-specific product from reaction 1b was also tested by RFLP for the presence of the *CCR5-m303* polymorphism (45), which were uncommon or absent in other  
20 populations (1,43,48) as well as in the New York and Rwandan subjects tested here.

### **EXAMPLE 10**

#### **Statistical analysis**

25 Kigali and New York subjects were analyzed separately; New York subjects belonged to three ethnic groups and, as such, were analyzed separately and jointly. Standard procedures in EpiInfo software (33) were used to calculate  $\chi^2$  and odds ratio

calculations with 95% confidence intervals using differential distribution of genetic factors in subjects with varying rates of HIV-1 disease progression, to measure apparent linkage disequilibrium among CCR variants, and to evaluate associations of genetic and non-genetic risk factors with HIV-1 infection. Multiple logistic regression analysis by SAS (SAS Institute, Inc., Cary, NC) was performed by incorporating 1) all CCR and *SDF1* variants; 2) ethnicity and age of participants; and 3) individual risk factors with significant associations with HIV-1 infection (Kigali) or individual risk factors used for selecting participants (New York). Multivariate analyses were also performed using a summary risk score, but this reduced model was less informative. Yates' corrected method or a two-tailed Fisher's exact test was applied to analyses with small numbers of observations.

15

### EXAMPLE 11

#### Identification and frequency of CCR5 promoter alleles and other related markers

Analyses yielded 6 different alleles and 15 genotypes in the cohort of Rwandan women, 9 of which were seen at a frequency higher than 5% (Table 4). Direct allele-level sequencing of the CCR5 promoter region spanning nucleotide 59029 to 59653 of PCR-amplified human genomic DNA revealed 6 CCR5 promoter alleles: five were common and one was observed in only a single individual (Table 3). The 5 common alleles (not including the rare polymorphism at position 59648) could theoretically produce 15 genotypes (allele combinations), 14 of which were observed in the 60+ samples examined herein. The 5 major allelic variants were

defined by dimorphic sites at nucleotide positions 59029, 59353, 59356, 59402, and 59653 relative to GenBank sequence U59626. By this definition, allele sequences P\*0101 and P\*0201 matched GenBank sequences U95626 and AF031237, respectively.

5           The distributions of *CCR2b*, *CCR5*, and *CCR5* promoter variants differed widely among distinct ethnic groups (Figure 3). Overall, 6 major ('major' is defined herein as having a frequency higher than 5%) *CCR5* promoter genotypes were detected in Hispanics, 7 in Caucasian-Americans, 9 in African-Americans, and  
10 10 in Rwandans (Figure 4). Several genotypes were apparently restricted to certain ethnic groups, but the small number of subjects in the African-American and Caucasian-American groups did not allow reliable comparisons among populations surveyed here. Hispanic and non-Hispanic Caucasians resemble each other  
15 rather closely, and black African-Americans in New York and Rwandans also resemble each other in the distributions of promoter genotypic frequencies (Figure 4). In particular, allele P\*0103 was largely restricted to populations of African origin. As a result, there were more *CCR5* promoter genotypes (allele  
20 combinations) in Africans than Caucasians and Hispanics. Multiple linkages among *CCR2b*, *CCR5*, and *CCR5* promoter variants were consistent in all populations (Tables 5 & 6). For example, exclusive linkage of promoter allele P\*0202 (59653T) to *CCR2b*-64I was consistent with an earlier observation in Caucasians (2).  
25 Meanwhile, promoter allele P\*0201 was the only variant that was linked to *CCR5*-Δ32. Mutually exclusive linkages with P\*0201 and P\*0202 implied that *CCR2b*-64I and *CCR5*-Δ32 could not exist on the same chromosome, and the negative linkage between *CCR2b*-

64I and *CCR5*-Δ32, as revealed by the analysis herein, confirmed this association (or lack, thereof) (Table 5).

Sequences from non-human primates revealed differences in 2 of 6 putative transcription factor binding elements within the *CCR5* promoter (Figure 5A). As would be predicted, pair-wise genetic distance (Figure 5B) and phylogenetic analyses (Figure 5C) placed the chimpanzee *CCR5* promoter sequence closer to all human *CCR5* promoter alleles than to alleles from other primate species. The ancestral lineage of human *CCR5* promoter alleles appeared to be P\*0102, which shared identical sequences with other non-human alleles at nucleotide positions that define all major promoter alleles in humans (*i.e.*, 59029, 59353, 59356, 59402, and 59653). Moreover, P\*0201 and P\*0202 apparently diverged from the common ancestral sequence more recently than did other alleles. By the same analyses, polymorphisms at two positions, the G at 59029 and the T at 59353, appeared ancestral to any other lineages.

Finally, in all human and non-human alleles, exclusive linkage between the G-to-A nucleotide substitution at position 59029 to the T-to-C change at position 59356 presumably indicates either gene conversion or simultaneous point mutations. If the former is true, other upstream (*CCR2b* coding region) or downstream (*CCR5* coding region) polymorphisms are likely to be linked in the same fashion. The *CCR2b*-64I-59653T linkage served as one example, and *CCR5*-Δ32-P\*0201 linkage served as another. Thus, a disease association with an individual polymorphic site can actually signal relationships with one or more additional polymorphisms at multiple inter-linked positions.

**TABLE 4**

CCR2b and CCR5 promoter genotypes observed in HIV-1-infected Rwandan women

	<u>Promoter genotypes</u>	<u>Expected frequency (%)</u>	<u>Observed frequency (%)</u>
5	P*0101/*0101	1.2	3.0
	P*0101/*0102	7.9	6.0
	P*0101/*0103	1.8	0.0
	P*0101/*0201	4.2	4.5
10	P*0101/*0202	7.9	6.0
	P*0102/*0102	6.8	12.4
	P*0102/*0103	4.3	0
	P*0102/*0201	4.9	6.0
	P*0102/*0202	18.4	15.4
15	P*0103/*0103	0.7	2.5
	P*0103/*0201	3.1	2.5
	P*0103/*0202	5.8	9.0
	P*0104/*0202	0.2	0.5
	P*0201/*0201	3.6	5.5
20	P*0201/*0202	13.3	13.9
	P*0202/*0202	12.5	12.9
	<i>CCR2b</i> genotypes		
	64V/I	40.8	42.3
	64I/I	8.2	7.5



**TABLE 5**

Linkage among CCR2b, CCR5, and CCR5 promoter variants in subjects from the Bronx, New York<sup>a</sup>

Variant 1	Variant 2	N <sup>b</sup>	1+/2+	1+/2-	1-/2+	1-/2-	OR	95% CI	p
CCR2b-64I	CCR5-Δ32	193	1	53	11	128	0.22	0.03-1.74	0.118
CCR2b-64I CCR5 promoter									
	P*0101	228	20	42	109	57	0.25	0.13-0.46	0.001
	P*0102	228	10	52	46	120	0.50	0.24-1.07	0.071
	P*0201	228	23	39	99	67	0.40	0.22-0.73	0.002
	P*0202 <sup>c</sup>	228	62	0	7	159	23.7	11.5-49.0	0.001
	59029G <sup>d</sup>	228	37	25	138	28	0.3	0.16-0.58	0.001
CCR5-Δ32	P*0201	193	12	0	97	84	1.87	1.63-2.14	0.002

- 5   <sup>a</sup>Subjects from Bronx included Caucasians (n=58), Hispanics (n=135), and African-Americans (n=35).   <sup>b</sup>African-Americans (n=35) are not included in the analysis involving CCR5-Δ32, as this variant is not present in this ethnic group.   <sup>c</sup>Promoter allele P\*0202 exclusively carries 59653T (Table 3).   <sup>d</sup>59029G is exclusively
- 10 linked to 59353T, and both occur at equal frequency in this cohort regardless of the ethnic group.

**TABLE 6**

Linkage analysis of *CCR2b*-64I and individual *CCR5* promoter alleles and polymorphic sites in a cohort of 278 Rwandan women<sup>a</sup>

Variant 1	Variant 2	1+/2+	1+/2-	1-/2+	1-/2-	OR	95% CI	p
<i>CCR2b</i> -64I	Promoter variants							
	P*0101	15	115	38	110	0.4	0.20-0.73	0.003
	P*0102	33	97	87	61	0.2	0.14-0.40	0.001
	P*0201	33	97	73	75	0.4	0.21-0.58	0.001
	P*0202	129	1	19	129	875.8	115.5-6639.7	0.001
	59029G <sup>b</sup>	67	63	126	22	0.2	0.10-0.33	0.001

<sup>a</sup>*CCR5*-Δ32 is absent in this cohort. <sup>b</sup>50029G is exclusively linked to

5 59353T, and both occur at equal frequency in this cohort.

**EXAMPLE 12***CCR5* promoter genotypes and HIV-1 infection

10 Seropositive and seronegative individuals consistently differed in their distributions of two *CCR5* promoter genotypes: the homozygous genotype P\*0101/\*0101 was more frequent in seropositives than in seronegatives (Table 7), while homozygous or heterozygous genotypes involving allele P\*0201 were more  
 15 frequent in seronegatives than in seropositives (Table 7). Despite the tight linkages that existed among alleles of *CCR2b*, the *CCR5* coding region, and the *CCR5* promoter, the relationships between *CCR5* promoter alleles and occurrence of HIV-1 infection could not be attributed to either *CCR2b* or variants in the *CCR5* coding region  
 20 (Table 8). Homozygosity and heterozygosity for *SDF1*-3'A, a

polymorphism in the natural ligand of CXCR4 (54), were further excluded as a contributing factor.

Several *CCR5* promoter genotypes demonstrated trend for association in either combined groups, probably as a result of ethnically specific effects. For example, the homozygous promoter form P\*0103/\*0103 was found in 6 (or 2.8%) HIV-1-infected black individuals but was absent from seronegatives (OR = 5.8,  $p$  = 0.097). This relationship was as strong as that recognized earlier for the P\*0101/\*0101 genotype.

The promoter allele P\*0103 was largely restricted to blacks. Thus, the protective genotypes involving P\*0201 could be split into 5 major genotypes (P\*0101/\*0201, P\*0102/\*0201, P\*0103/\*0201, P\*0201/\*0201, and P\*0201/\*0202) in black populations, but genotype P\*0101/\*0103 was not detected. In the Caucasoid group, P\*0201 can form 4 genotypes, and one of these (P\*0102/\*0201) was rare. The re-analyses revealed that only genotypes P\*0201/\*0201 and P\*0201/\*0202 were associated with protection against infection in Caucasoids (OR = 0.5,  $p$  = 0.032), compared with P\*0102/\*0201, P\*0103/\*0201, and P\*0201/\*0201 in blacks (OR = 0.3,  $p$  = 0.001). Another P\*0201+ genotype P\*0101/\*0201 was equally distributed in seropositive and seronegative groups regardless of their ethnicity. Therefore, the relative contribution to protection by different genotypes involving allele P\*0201 varied according to the ethnic background.

The relationships observed on *CCR5* promoter genotypes remained the same when two additional non-CCR factors known to influence the rates of HIV-1 disease progression in this cohort were considered. Specifically, homozygosity at HLA class I

A or B or both was strongly associated with more rapid disease progression in these Rwandan women, while HLA B\*57 was associated with slower disease progression. Data from combinations of promoter and HLA factors strongly suggest that  
5 the protective effects of promoter genotypes P\*0101/\*0201 and P\*0101/\*0202 are independent of the HLA factors, as were the risk effects of P\*0201/\*0201 and P\*0102/\*0201 (Table 10).

The characterization of *CCR5* promoter allelic sequences provided simple explanations for previous associations  
10 with disease progression (Figure 6) and allowed further predictions. First, alleles P\*0201 and P\*0202 were the only promoter variants carrying the previously recognized disease-accelerating P1/P1 genotype (6). These two alleles carried exclusively 59029A and not the disease-delaying 59029G/G (5).  
15 As a result, P1/P1 homozygotes and 59029G/G-carriers represented 2 mutually exclusive groups of promoter genotypes. The association of the P1/P1-carrying P\*0201/\*0201 with more rapid disease progression as revealed by our data supported and refined the known effects of P1/P1.

20 Second, as noted above, P\*0202 (59653T) is exclusively linked to *CCR2b*-64I (Tables 5 & 6), which has been associated with slower disease progression in cohorts of seroconverters (2,4,11,12). Thus, the relationship between P1/P1 genotype and rapid HIV-1 disease progression must depend upon P\*0201 and not  
25 the *CCR2b*-64I-linked P\*0202 (Figure 6B). The lack of association between *CCR2b*-64I and slower disease progression in Rwandan women was seemingly inconsistent with findings from another African cohort (34). However, exclusive linkage of 59653T to

*CCR2b*-64I (2), which in turn solely defines promoter allele P\*0202, made these findings easily explainable. In other earlier studies, *CCR2b*-64I variant has been less clearly associated with slower disease progression in certain seroprevalent groups than in cohorts of seroconverters (2,4,10-12), perhaps as much because of their genetic heterogeneity as because of misclassification with respect to outcome. The contrasting relationships seen here with the *CCR2b*-64I-carrying P\*0101/\*0202 (protective) and P\*0201/\*0202 (risk) indicated clearly that the *CCR2b*-64I effect can go either way depending upon the promoter genotypes. As a result, the *CCR2b*-64I effect must be secondary to polymorphisms in the promoter, which can vary significantly among different ethnic groups. The association between the P1/P1 variant and an accelerated course independent of *CCR2b*-64I (6), coupled with the preliminary demonstration of reduced promoter activity (5) in carriers of the 59029G variant that includes P\*0101 and P\*0102, enhances the likelihood that the effects of promoter polymorphisms are independent of *CCR2b*-64I.

**TABLE 7**

Distribution of CCR5 promoter genotypes in ethnic Africans and ethnic Caucasians

Promoter Genotypes	HIV+ Blacks <sup>a</sup> (n=213)	HIV- Blacks (n=100)	OR (p)	Caucasoids HIV+ (n=75)	Caucasoids HIV- (n=118)	OR (p)
P*0101/*0101	3.3 (7) <sup>b</sup>	0	6.8 (0.065)	21.3 (16)	7.6 (9)	3.1(0.010)
P*0101/*0102	6.6 (14)	9.0 (9)	-	12.0 (9)	9.3 (11)	-
P*0101/*0201	4.7 (10)	6.0 (6)	-	25.3 (19)	28.8 (34)	-
P*0101/*0202	5.6 (12)	5.0 (5)	-	8.0 (6)	12.7 (15)	-
P*0102/*0102	12.2 (26)	10.0(10)	-	0	1.7 (2)	-
P*0102/*0103	0.5 (1)	4.0 (4)	0.1 (0.038)	1.3 (1)	0	-
P*0102/*0201	5.6 (12)	19.0(19)	0.3 (0.001)	4.0 (3)	3.4 (4)	-
P*0102/*0202	15.0 (32)	10.0(10)	-	8.0 (6)	2.5 (3)	3.3(0.082)
P*0103/*0103	2.8 (6)	0	5.8 (0.097)	0	0	-
P*0103/*0201	2.8 (6)	8.0 (8)	0.3 (0.042)	2.7 (2)	0	-
P*0103/*0202	9.9 (21)	4.0 (4)	2.6 (0.054)	1.3 (1)	3.4 (4)	-
P*0201/*0201	5.2 (11)	7.0 (7)	-	6.7 (5)	15.3 (18)	0.4(0.073)
P*0201/*0202	13.1 (28)	12.0(12)	-	9.3 (7)	14.4 (17)	-
P*0202/*0202	12.2 (26)	6.0 (6)	2.2 (0.092)	0	0.8 (1)	-

5 <sup>a</sup> One of the infected individual in this group carried the rare genotype

P\*0104/\*0202. <sup>b</sup> Numbers are expressed as % (n).

TABLE 8

Distribution of previously recognized factors in CCR5 promoter and CCR2b among seropositive and seronegative groups in Kigali, Rwanda and the Bronx, New York (NY)

CCR variants	Kigali-Rwandans		NY-Caucasians		NY-African Americans		NY-Hispanics		NY-combined	
	+	-	+	-	+	-	+	-	+	-
59029G/G*	201	77	17	41	12	23	58	77	87	141
% (n)	23.9(48)	18.2(14)	24.4(10)	41.2(7)	39.1(9)	50(6)	15.6(12)	32.8(19)	22.0(31)	36.8(32)
OR (CI)	1.4 (0.7 - 2.7)		0.5 (0.1 - 1.5)		0.6 (0.2 - 2.6)		0.4 (0.2 - 0.9)		0.5 (0.3 - 0.9)	
P	0.308		0.205		0.543		0.019		0.015	
+P1/+P1*										
% (n)	32.3(65)	26.0(20)	11.8(2)	24.4(10)	0	21.7(5)	17.2(10)	33.8(26)	13.8(12)	29.1(41)
OR (CI)	1.4 (0.7 - 2.7)		0.4 (0.1 - 2.1)		0.2 <sup>b</sup> (0.0 - 3.0) <sup>b</sup>		0.4 (0.2 - 0.9)		0.4 (0.2 - 0.8)	
P	0.304		0.240		0.104		0.032		0.008	
CCR2b-64I <sup>c</sup>										
% (n)	49.8(100)	39.0(30)	11.8(2)	29.3(2)	33.3(4)	17.4(4)	29.3(17)	29.9(23)	26.4(23)	27.7(39)
OR (CI)	1.6 (0.9 - 2.6)		0.3 (0.1 - 1.6)		2.4 (0.5 - 11.9)		1.0 (0.5 - 2.0)		0.9 (0.5 - 1.7)	
P	0.107		0.139		0.257		0.944		0.841	

\*+P1/+P1 = 59029A/A = P\*0201/\*0201 + P\*0201/\*0202 + P\*0202/\*0202, which is mutually exclusive from 59029G/G = all genotypes involving only P\*0101, P\*0102, P\*0103, and P\*0104. <sup>b</sup>An arbitrary value of 0.5 was used to replace 0 before calculation of odds ratio and CI. <sup>c</sup> CCR2b-64I is exclusively linked to CCR5 promoter allele P\*0202..

TABLE 9

5 Differential distribution of CCR5 promoter genotypes in HIV-1+ Rwandan women with varying rates of disease progression and a comparison with previously recognized promoter variants and the closely related CCR2b genotypes

Observed genotypes	RP <sup>a</sup> (N = 15)	IP <sup>a</sup> (N = 86)	SP <sup>a</sup> (N = 100)	p for trend
CCR5 promoter genotypes <sup>b</sup> defined here				
1. P*0101/*0201	0	2.3 (2) <sup>c</sup>	6.9 (7)	0.083
2. P*0101/*0202	0	1.2 (1)	10.9 (11)	0.005
1 + 2	0	3.5 (3)	17.8 (18)	0.001
3. P*0101/*0102 <sup>d</sup>	13.3 (2)	5.8 (5)	5.0 (5)	0.318
4. P*0101/*0103 <sup>d</sup> :not found	-	-	-	-
5. P*0101/*0101 <sup>d</sup>	6.7 (1)	1.2 (1)	4.0 (4)	-
3 + 4 + 5 = 3 + 5 <sup>d</sup>	20.0 (3)	7.0 (6)	9.0 (9)	-
1 + 2 + 3 + 4 + 5 = 1 + 2 + 3 + 5 <sup>d</sup>	20.0 (3)	10.5 (9)	27.0 (27)	0.030
6. P*0102/*0202	26.7 (4)	18.6 (16)	11.0 (11)	0.058
7. P*0201/*0201	13.3 (2)	7.0 (6)	3.0 (3)	0.072
6 + 7	40.0 (6)	25.6 (22)	14.0 (14)	0.007
8. P*0202/*0202	6.7 (1)	14.0 (12)	13.0 (13)	-
Promoter genotypes recognized previously				
59029G/G <sup>c</sup>	26.7 (4)	20.9 (18)	26.0 (26)	-
59029A/A <sup>c</sup> = P1/P1 <sup>f</sup>	33.3 (5)	34.9 (30)	30.0 (30)	-
59029G/A	40.0 (6)	44.2 (38)	43.6 (44)	-



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<i>CCR2b</i> genotypes						
<i>CCR2b</i> -64I/V + 64I/I	53.3	(8)	50.0	(43)	49.0	(49) -
<i>CCR2b</i> -64I/V	46.6	(7)	43.0	(37)	41.0	(41) -
<i>CCR2b</i> -64I/64I	6.7	(1)	7.0	(6)	8.0	(8) -

---

<sup>a</sup>The three groups of patients (see text) were classified according to their rates of disease progression: RPs=rapid progressors=deaths from AIDS in <6 years; SPs=slow progressors=no clinical manifestation of HIV-1-related diseases in >10 years; 5 IPs=intermediate and indeterminant progressors with less extreme clinical outcomes. <sup>b</sup>Fifteen major *CCR5* promoter genotypes involving 5 major alleles were defined in this cohort. Only those involving alleles that appeared to distribute disproportionally ( $p \leq 0.1$ ) are shown. <sup>c</sup>Numbers are expressed as % (n). <sup>d</sup>All genotypes carry 10 homozygous 59029G/G. <sup>e</sup>59029G/G (5) are represented by 6 major genotypes in this cohort: P\*0101/\*0101, P\*0101/\*0102, P\*0101/\*0103, P\*0102/\*0102, P\*0102/\*0103, P\*0103/\*0103; none of them appeared to have biased distribution among the three groups of subjects. <sup>f</sup>The P1 genotype (6) consists of 2 distinct alleles (\*0201 and \*0202) in this cohort as defined by the polymorphism at 15 nucleotide position 59653 (GenBank U59626).

**TABLE 10**

Analyses of combinations of disease-related factors specifically recognized in HIV-1 seropositive Rwandan women

Promoter genotypes	Presence or absence of other recognized factors	RP %(n)	IP %(n)	SP %(n)	p for trend
1 + 2 <sup>a</sup>	+ Hmz <sup>b</sup> at A, B, or both	0	0	2.0 (2)	0.193
	+ Htr <sup>b</sup> at A, B, or both	0	3.5 (3)	16.0 (16)	0.002
	+ B*57	0	0	3.0 (3)	0.109
	- B*57	0	3.5 (3)	15.0 (15)	0.009
	- Hmz <sup>a</sup> - B*57	0	3.5 (3)	13.0 (13)	0.010
P*0201/*0201	+ Hmz <sup>b</sup> at A, B, or both	6.7 (1)	1.2 (1)	0	0.037
	+ Htr <sup>b</sup> at A, B, or both	6.7 (1)	5.8 (5)	3.0 (3)	0.327
	+ B*57	0	1.2 (1)	0	0.500
	- B*57	13.3 (2)	5.8 (5)	3.0 (3)	0.096
	- Hmz <sup>a</sup> - B*57	6.7 (1)	4.7 (4)	3.0 (3)	0.378
P*0102/*0202	+ Hmz <sup>b</sup> at A, B, or both	6.7 (1)	7.0 (6)	3.0 (3)	0.250
	+ Htr <sup>b</sup> at A, B, or both	20.0 (3)	11.6 (10)	8.0 (8)	0.155
	+ B*57	0	3.5 (3)	2.0 (2)	0.934
	- B*57	26.7 (4)	15.1 (13)	9.0 (9)	0.045
	- Hmz <sup>a</sup> - B*57	20.0 (3)	10.5 (9)	6.0 (6)	0.070

<sup>a</sup> 1 + 2 = P\*0101/\*0201 and P\*0101/\*0202, both involving the 59029G-carrying P\*0101. <sup>b</sup> Hmz, homozygosity; Htr, heterozygosity.

**EXAMPLE 13**

Mediation of HIV-1 infection by CCR5 promoter genotypes is independent of effects by non-genetic factors

Age, ethnicity and sexual and drug-using habits usually alter the risk of acquiring HIV-1 infection. For example, among heterosexual women in Kigali, the observed high risk factors included: 1) currently living alone but having multiple sexual  
5 partners or currently living with a single partner but both partners having extra-marital affairs (OR = 1.8,  $p = 0.024$ ); 2) history of sexually transmitted disease in the past five years (OR = 1.5,  $p = 0.022$ ); 3) having multiple life-time sexual partners (OR = 1.7,  $p = 0.004$ ); and 4) no condom use during sexual intercourse in the last 2  
10 years (OR = 8.6,  $p = 0.0001$ ). Risk factor 1 was strongly correlated with risk factor 2 among the Rwandan women ( $\chi^2 = 20.3$ ,  $p = 0.001$ ). Accordingly, the more strongly related risk factor 2 was chosen for additional analyses. For injecting drug users in New York, high risk factors included: 1) having sex with IV drug users (OR = 2.6,  $p =$   
15 0.039); 2) sharing needles in shooting galleries (OR = 1.3,  $p = 0.006$ ); 3) attending shooting galleries (OR = 1.5,  $p = 0.065$ ); and 4) sharing needles with strangers (OR = 1.01,  $p = 0.052$ ).

Univariate analysis revealed that patterns of behavior (with regard to sex in Kigali and both sex and drug injection in New  
20 York) represented the strongest risk of getting infected. An ordinal scoring scheme summarizing these behavioral factors demonstrated a strong association with risk in the Kigali, but not in the New York cohort, suggesting that the latter group was strongly biased as a result of selective enrollment. Stratification of subjects by behavioral  
25 risk score revealed that *CCR5* promoter allele P\*0201 conferred more stable protection on subjects with the lowest risk score than on those

- with higher scores in Kigali ( high risk OR = 0.4, 95% CI = 0.0-7.2; low risk OR = 0.4, 95% CI = 0.2-0.7). In contrast, individuals with intermediate to higher risk scores were better protected by P\*0201 in New York (high risk OR = 0.7-0.9; low risk OR = 0.3-0.6) (Table 11).
- 5 The risk associated with P\*0101/\*0101 genotype diminished in the New York cohort.

To assess the simultaneous and independent genetic and non-genetic effects, variables for age, behavioral risk, ethnicity and the two contributing *CCR5* promoter genotypes (P\*0101/P\*0101 and  
10 P\*0201) were incorporated into logistic regression analyses performed on separate data from Kigali and New York populations (Table 11). Age and risk score consistently served as independent determinants of HIV-1 infection in both cohorts. In Kigali, *CCR5* promoter genotypes involving P\*0201 were associated with decreased  
15 risk; in New York, the P\*0101/\*0101 genotype was associated with increased risk. Modest and inconsistent interaction between age and risk score and between ethnicity and *CCR5* promoter variants did not contribute significantly to risk in the regression model. The two genotypes associated with contrasting outcomes of infection in the  
20 two cohorts are mutually exclusive of each other (Table 3), and their reciprocal effects are in agreement with apparent differences in their frequencies in the two populations (Figure 4). Thus, it became evident that associations of the two promoter genotypes P\*0101/P\*0101 and P\*0201 with higher and lower risk of HIV-1  
25 infection, respectively, were independent of the effects of demographic and behavioral factors.

**TABLE 11**

Univariate and multivariate regression analyses showing the independent and relative contribution to HIV-1 infection by genetic and non-genetic factors in two cohorts

5

I. Logistic model for univariate analyses of the New York data set		
Factors considered	OR (95% CI)	p
Having sex with IV drug user	2.6 (1.0-6.3)	0.039
Sharing needles in shooting galleries	1.3 (1.1-1.6)	0.006
Attending shooting galleries	1.5 (1.0-2.4)	0.065
Sharing needles with strangers	1.0 (1.0-1.0)	0.052
Age	0.9 (0.9-0.9)	0.005
Ethnicity		
Caucasian Americans	0.6 (0.3-1.1)	0.110
African Americans	0.8 (0.4-1.8)	0.609
Hispanics	1.7 (1.0-2.9)	0.073
CCR2b-64I	0.9 (0.5-1.7)	0.840
CCR5-D32	1.7 (0.5-5.3)	0.390
CCR5 promoter P*0101/*0101	3.6 (1.5-8.4)	0.004
CCR5 promoter P*0201	0.5 (0.3-0.9)	0.020
SDF1-3'A	1.5 (0.8-2.8)	0.173

## II. Multivariate analyses of the New York data set

HIV-1 infection = 1.60 + 0.31 x (sharing needles) - 0.06 x age - 0.83 x (being white) + 1.41 x (CCR5 promoter P\*0101/\*0101)

Sharing needles	OR = 1.4, CI = 1.1-1.7, p = 0.003
Age	OR = 0.9, CI = 0.9-1.0, p = 0.014
Being white	OR = 0.4, CI = 0.2-0.9, p = 0.021
CCR5 P*0101/*0101	OR = 4.1, CI = 1.7-10.2, p = 0.002

## III. Logistic model for univariate analyses of the Kigali data set

Factors considered	OR (95% CI)	p
Venereal disease in past 5 years	2.5 (1.2-5.1)	0.016
Condom use 2 yrs prior to seroconversion	0.02 (0.0-0.1)	0.000
Number of lifetime sexual partners	1.5 (1.09-2.0)	0.011
Age	0.9 (0.83-0.9)	0.000
CCR2b-64I	1.6 (0.91-2.6)	0.108

---

<i>CCR5</i> promoter P*0101/*0101	999.0	(0-0.900
	999.0)	
<i>CCR5</i> promoter P*0201	0.4	(0.3-0.7) 0.001
<i>SDF1</i> -3'A	1.35	(0.65-2.81) 0.417

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#### IV. Multivariate analyses of the Kigali data set

HIV-1 infection = 7.36 + 1.18 x (venereal disease) - 4.54 x (condom use) - 0.09 x age - 0.87 x (all <i>CCR5</i> promoter genotypes involving P*0201)	
Venereal disease in past 5 years	OR=3.2, CI=1.4-7.7, <i>p</i> =0.007
Condom use 2 yrs prior to seroconversion	OR=0.02, CI=0.0-0.1, <i>p</i> =0.000
Age	OR=0.9, CI=0.8-1.0, <i>p</i> =0.024
<i>CCR5</i> promoter P*0201	OR=0.4, CI=0.2-0.8, <i>p</i> =0.011

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#### EXAMPLE 14

- 5    The observed relationships between *CCR5* promoter polymorphisms and HIV-1 infection differed from the previously recognized associations

To compare previously reported relationships of *CCR5* promoter sequences to progression with their relationships to  
 10    infection seen here, the *CCR5* promoter alleles were further aggregated into groups as defined by earlier methods. The effects of the P\*0202-linked *CCR2b*-64I variant were also tested. By this re-analysis, the 59029G/G variant previously associated with slower disease progression and the P1/P1 genotype previously associated  
 15    with faster disease progression separated mutually exclusive subsets of the promoter genotypes, explaining their opposing effects. However, the previously recognized relationships between these two

*CCR5* promoter variants and HIV-1 disease progression almost contradicted their relationships to infection. For example, the 59029G/G (= all combinations involving only P\*0101, P\*0102, P\*0103, and P\*0104) was previously associated with slower disease progression (5). In the population cohorts described herein, P\*0101/P\*0101 (a subset of 59029G/G) showed unequivocal relationship to increased risk of infection (Table 8). Here, differences in population allele frequencies are unlikely to be a sufficient explanation.

The effects of the P1/P1 genotype differed even between the two cohorts: in Kigali, the P1/P1 genotype was associated with becoming infected, whereas in New York, the same genotype was associated with remaining uninfected. The effects of *CCR2b*-64I were the least stable when the 4 ethnic groups were analyzed separately. These apparently self-contradictory results contrast starkly with the strength and consistency of the relationships established by the typing scheme used herein, which had a definitive resolution of the promoter genotypes (Table 3). Thus, the manifestation of *CCR* relationships appeared to be sensitive to ethnicity and allele definitions.

### Summary

Intensive effort has been devoted to measuring the influence of polymorphisms in *CCR5* and its promoter on the rates of disease progression during HIV-1 infection. The almost complete absence of HIV-1 infection in *CCR5*- $\Delta$ 32 homozygotes has highlighted the importance of this co-receptor for initial penetration by the

virus. It is conceivable that reduced production of *CCR5* mediated by the promoter genotypes (5) can further determine the infectability by HIV-1. This aspect has heretofore escaped much of the attention surrounding the *CCR5* promoter polymorphisms.

5               Based on earlier data derived from various ethnic groups, the four disease-related promoter alleles P\*0101, P\*0102, P\*0201 and P\*0202 differ in their frequencies in different populations. In particular, the promoter allele P\*0201 occurs more frequently in Caucasoids (Caucasians and Hispanics, 34%) than in populations of  
10 African origin (22%). If the P\*0201/\*0201 (a subset of P1/P1) truly mediates more rapid progression to disease following HIV-1 infection, then a higher proportion of rapid progressors can be attributed to this genotype in Caucasians (11-12%) than Africans (4-5%). It would, therefore, be more difficult to detect the effect of  
15 P\*0201/\*0201 or P1/P1 genotype in populations of African origin. The lack of association between P1/P1 and rapid disease progression in African-Americans (6) reflects this difficulty, but a better resolution of the P1/P1 genotype is likely to pinpoint the exact alleles involved.

20               Despite the consistent associations between *CCR5* promoter variants and contrasting rates of HIV-1 disease progression, the mechanisms for these effects are still puzzling. For example, none of the polymorphic promoter sites map within any of the 6 putative transcription factor-binding (TFB) elements (13,14),  
25 suggesting that other unknown neighboring factors in linkage disequilibrium are probably involved.



In summary, the work described herein confirmed the association of *CCR5* genotype P1/P1 and further indicated that only the subset represented by the P\*0201/\*0201 genotype was the true factor in the Rwandan population studied. Meanwhile, two  
5 promoter genotypes, P\*0101/\*0201 and P\*0101/\*0202, collectively and individually showed the opposite effects. The appearance and strength of the association of *CCR2b*-64I obviously depended on the relative frequencies of 2 competing *CCR5* promoter genotypes, P\*0102/\*0202 and P\*0201/\*0202, both involving the *CCR2b*-64I-  
10 carrying P\*0202 allele. In addition, the disease-accelerating effect of P\*0201/\*0201 is predicted to be more significant to Caucasian and Hispanic populations, whose P\*0201 frequencies are higher than those of populations of African origin.

The consistent effects associated with *CCR5* promoter  
15 alleles in ethnically diverse populations studied herein are less dramatic compared with those associated with *CCR5*-Δ32 and *CCR5*-m303. It is unlikely that a simple mechanism can explain all of the observed relationships. The existing data pool already points to a complex phenomenon that depends on a combination of differences  
20 in promoter activities (5), in splicing of *CCR5* transcription products (13), and in the relative prevalence of M- and T-tropic quasiespecies at different stages of HIV-1 infection (40,55). There is also limited evidence that the co-receptor usage by HIV-1 may be governed not only by *CCR5* expression (37,52), but also by the ratio between *CCR5*  
25 coreceptor and CD4<sup>+</sup> on the cell surface (19). If the relative expression of *CCR5* and CD4<sup>+</sup> is altered after infection, the effects

exerted by a promoter genotype at the inception of HIV-1 infection could appear very different from those seen at later stages.

There are three single nucleotide changes between the promoter alleles P\*0101 and P\*0201, however none of them occur in  
5 any of the six putative transcription factor-binding elements. If the different effects associated with these two alleles have anything to do with differential transcription of *CCR5*, there are probably additional unrecognized elements in the promoter region. Meanwhile, two of the three nucleotide changes between *CCR5* promoter alleles P\*0101  
10 and P\*0201 are located in the untranslated region (exon 1) of *CCR5* mRNA. These sites (59353 and 59402) may alter the translation rather than transcription. The relatively high frequency of promoter alleles P\*0101 and P\*0201 in different ethnic groups suggested that these factors can be more significant than the two Caucasian-specific  
15 factors in the *CCR5* locus previously shown to mediate HIV-1 transmission.

**TABLE 12**Allele assignment for CCR2b, CCR5 and CCR5 promoter alleles based upon PCR-SSP

	<u>Genotypes</u>	<u>DNA band(s)</u> visualized in <u>SSP reaction</u>	<u>Notes</u>
5	CCR2b-64V	1a=413 bp(++++)	RFLP applicable (Smith, 1997)
10	CCR2b-64I bp(++++)	1b=413 bp(++++)	1b always has 4b=309
	CCR5 wild type	1b=585 bp(+++)	197 bp control product
	CCR5-Δ32	1b=553 bp(+++)	165 bp control product
15	P*0101	2a=363 bp(++++); 3a=412 bp(++++); 4a=309 bp(++++)	extra 5a=367 bp(++++)
20	P*0102	2a=363 bp(++++); 3b=412 bp(++++); 4a=309 bp(++++)	extra 5a=367 bp(++++)
	P*0103	2a=363 bp(+/-); 3b=412 bp(++++); 4a=309 bp(++++)	extra 2e=363 bp(++++), 5b=367 bp(++++)
25	P*0201	2d=363 bp(++++); 3d=412 bp(++++); 4a=309 bp(++++)	NA
30	P*0202	2d=363 bp(++++); 3d=412 bp(++++); 4b=309 bp(+++)	4b(+++) usually has 1b=413 bp(++++)

The intensity of specific products is measured as: ++++ very strong; +++ strong; ++ clearly visible; + weak; +/- weak or invisible. See also Figure 2.

5

**EXAMPLE 15**CCR5 Genotypes Determine Progression of HIV-1 Infection by Regulating Early Viral Load

Up to 306 mostly caucasians homosexual HIV-1 seroconverters from the Multicenter AIDS Cohort Studies and District  
10 of Columbia Gay Cohort had viral load (VL) measurements 6-36 months after seroconversion. Men were typed by multiplexing PCR with sequence-specific primers that simultaneously defined markers such as: *CCR2b*-64I and *cis*-regulatory dimorphisms G303A, T627C, C630T, A676G, C927T (including the P1/P1 pairing reported in  
15 association with rapid progression), and  $\Delta 32$  in *CCR5* (Figure 7). Viral load (Chiron or Roche) was reported as  $\log_{10}$ . The GLM procedure in SAS was used to model the relationships.

Age-, race-, cohort-adjusted mean VL at a median of about 15 months was 4.33. Overall  $\Delta 32$  carriers showed clearly lower VL  
20 (4.06,  $p=0.008$ ), 64I showed only a modest reduction (VL=4.21) and P1/P1 showed no reduction (VL=4.38). In a multivariate model, compared with the reference group ( $n=224$ ), men who carried  $\Delta 32$  in the absence of the homozygous A-C-C-A-C haplotype (a subset of P1/P1) had lower VL both in the presence (3.38,  $p=0.03$ ) and the  
25 absence (4.01,  $p=0.001$ ) of the 64I-linked A-C-C-A-C haplotype.

Conversely, men who carried the homozygous A-C-C-A-C haplotype in the absence of  $\Delta 32$  had higher VL (4.52,  $p=0.05$ ). The overall adjusted model for association of CCR genotypes with VL was highly predictive ( $p=0.0005$ ).

5                    *CCR5* genotype HHE/HHE (Figure 9) contributed the majority of effect on VL and disease progression, causing >2-year acceleration to the onset of AIDS in 10% of the participants. The infrequent *CCR5* genotype carrying  $\Delta 32$  (HHG\*2) and 64I (HHF\*2) had a strong protective effect (lower VL and longer time to AIDS) in 2% of  
10 the participants. *CCR2*-64I alone conferred slight but not significant protection against HIV-1 VL or disease progression.

In conclusion, comprehensive genotyping of *CCR2b* and *CCR5* regulatory and coding region variants coupled with analysis accounting for linkage disequilibria between the variants indicated  
15 that  $\Delta 32$ , the 64I-linked A-C-C-A-T *CCR5* promoter haplotype, and the homozygous A-C-C-A-C genotype collectively determine later disease progression partly by governing viral load early in infection.

The following references were cited herein:

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Any patents or publications mentioned in this specification are indicative of the levels of those skilled in the art to which the invention pertains. Further, these patents and publications are incorporated by reference herein to the same extent as if each  
5 individual publication was specifically and individually indicated to be incorporated by reference.

One skilled in the art will appreciate readily that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those objects, ends  
10 and advantages inherent herein. The present examples, along with the methods, procedures, treatments, molecules, and specific compounds described herein are presently representative of preferred embodiments, are exemplary, and are not intended as limitations on the scope of the invention. Changes therein and other  
15 uses will occur to those skilled in the art which are encompassed within the spirit of the invention as defined by the scope of the claims.



**WHAT IS CLAIMED IS:**

1. A method of surveying CCR genotypes in a population, comprising the steps of:

(a) obtaining biological samples from a representative  
5 number of individuals in a population, wherein each sample is from a different individual, wherein said sample comprises genomic DNA;

(b) combining a portion of each sample with an experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein said experimental  
10 primer combination is selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein said control primer combination is SEQ ID Nos. 17 & 18;

(c) amplifying said primer-annealed DNA in a reaction,  
15 thereby producing amplicons, wherein reaction conditions for said amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and said control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs;

20 (d) separating said amplicons by size, wherein the presence of:

a 197 bp amplicon with said control primer combination is indicative of a CCR5 wildtype coding sequence;

a 165 bp amplicon with said control primer combination is indicative of a CCR5-Δ32 coding sequence;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele;

10 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele;

15 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp  
20 amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with

experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele;

(e) determining a CCR genotype for each sample based upon said CCR alleles indicated following step (d); and

(f) compiling said genotypes determined in step (e), thereby genotyping said representative number of individuals in said population, thereby surveying CCR genotypes in said population.

2. The method of claim 1, wherein said biological samples are selected from the group consisting of blood, serum, saliva, semen, tissue biopsy and DNA.

3. The method of claim 1, wherein said separation is selected from the group consisting of gel electrophoresis and size fractionation.

4. The method of claim 1, wherein said amplifying is by polymerase chain reaction (PCR).

5. A method of surveying HIV-1 co-receptor CCR alleles in an individual, comprising the steps of:

(a) obtaining a biological sample from an individual, wherein said sample comprises genomic DNA;

5 (b) combining a portion of said sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein said experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 &  
10 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein said control primer combination is SEQ ID Nos. 17 & 18;

(c) amplifying said primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for said amplification are optimized for sequence-specific amplification,  
15 wherein each experimental primer combination and said control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and

(d) separating said amplicons by size, wherein the presence of:

20 a 197 bp amplicon with said control primer combination is indicative of a CCR5 wildtype coding sequence;

a 165 bp amplicon with said control primer combination is indicative of a CCR5-Δ32 coding sequence;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp  
5 amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with  
10 experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer  
15 combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele;

20 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of  
5 a P\*0202 CCR5 promoter allele.

6. The method of claim 5, wherein said biological samples are selected from the group consisting of blood, serum, saliva, semen, tissue biopsy and DNA.

10

7. The method of claim 5, wherein said separation is selected from the group consisting of gel electrophoresis and size fractionation.

15 8. The method of claim 5, wherein said amplifying is by polymerase chain reaction (PCR).

9. A method of predicting the disease progression of AIDS in an HIV-1-infected individual, comprising the steps of:

20 (a) obtaining a biological sample from an individual, wherein said sample comprises genomic DNA;

(b) combining a portion of said sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein said experimental

primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein said control primer combination is SEQ ID Nos. 17 & 18;

5 (c) amplifying said primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for said amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and said control primer combination are predicted to produce one or more amplicons  
10 having expected sizes in basepairs;

(d) separating said amplicons by size, wherein the presence of:

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer  
15 combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0201/P\*0201;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer  
20 combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination  
25 SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer

combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0102/P\*0202;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0201;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 12, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13, a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0202;

wherein a CCR5 promoter genotype of P\*0201/P\*0201 or P\*0102/P\*0202 is predictive of an accelerated rate of AIDS progression in said individual relative to an individual who does not possess said P\*0201/P\*0201 or P\*0102/P\*0202 genotype, wherein a CCR5 promoter genotype of P\*0101/P\*0201 or P\*0101/P\*0202 is



predictive of a slower rate of AIDS progression in said individual relative to an individual who does not possess said P\*0101/P\*0201 or P\*0101/P\*0202 genotype.

5                   10. The method of claim 9, wherein said biological samples are selected from the group consisting of blood, serum, saliva, semen, tissue biopsy and DNA.

                  11. The method of claim 9, wherein said separation is  
10 selected from the group consisting of gel electrophoresis and size fractionation.

                  12. The method of claim 9, wherein said amplifying is by polymerase chain reaction (PCR).

15                   13. A method of predicting the probability of HIV-1 infection in an individual, comprising the steps of:

                  (a) obtaining a biological sample from an individual, wherein said sample comprises genomic DNA;

                  (b) combining a portion of said sample with at least one  
20 experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein said experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 &

13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein said control primer combination is SEQ ID Nos. 17 & 18;

(c) amplifying said primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for said  
5 amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and said control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and

(d) separating said amplicons by size, wherein the  
10 presence of:

a 363 bp with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of  
15 P\*0201/P\*0201;

a 363 bp with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp with experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp with experimental primer combination SEQ  
20 ID Nos. 14 & 16 is indicative of a CCR5 promoter genotype of P\*0101/P\*0101;

wherein a CCR5 promoter genotype of P\*0201/P\*0201 is predictive of a decreased probability of HIV-1 infection in said individual relative to an individual who does not possess said  
25 P\*0201/P\*0201 genotype, wherein a CCR5 promoter genotype of

P\*0101/P\*0101 is predictive of an increased probability of HIV-1 infection in said individual relative to an individual who does not possess said P\*0101/P\*0101 genotype.

5           14. The method of claim 13, wherein said biological samples are selected from the group consisting of blood, serum, saliva, semen, tissue biopsy and DNA.

10           15. The method of claim 13, wherein said separation is selected from the group consisting of gel electrophoresis and size fractionation.

          16. The method of claim 13, wherein said amplifying is by polymerase chain reaction (PCR).

15

          17. A method of correlating CCR genotypes with HIV-1 transmission and/or disease progression, comprising the steps of:

          (a) obtaining biological samples from a representative number of individuals, wherein each sample is from a different  
20 individual, wherein said sample comprises genomic DNA;

          (b) assessing each individual's HIV-1 status and/or risk of acquiring HIV-1;

(c) assigning each individual to a risk group, wherein said assignment is based upon said individual's HIV-1 status and/or risk of acquiring HIV-1;

(d) combining a portion of each sample with at least one experimental primer combination and a control primer combination, thereby producing primer-annealed DNA, wherein said experimental primer combinations are selected from the group consisting of SEQ ID Nos. 2 & 3, 2 & 4, 5 & 7, 5 & 8, 5 & 9, 5 & 10, 5 & 11, 5 & 12, 5 & 13, 6 & 7, 6 & 8, 6 & 12, 6 & 13, 14 & 16 and 15 & 16, wherein said control primer combination is SEQ ID Nos. 17 & 18;

(e) amplifying said primer-annealed DNA in a reaction, thereby producing amplicons, wherein reaction conditions for said amplification are optimized for sequence-specific amplification, wherein each experimental primer combination and said control primer combination are predicted to produce one or more amplicons having expected sizes in basepairs; and

(f) separating said amplicons by size, wherein the presence of:

a 197 bp amplicon with said control primer combination is indicative of a CCR5 wildtype coding sequence;

a 165 bp amplicon with said control primer combination is indicative of a CCR5-Δ32 coding sequence;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with

experimental primer combination SEQ ID Nos. 5 & 12 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0101 CCR5 promoter allele;

5 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 10, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0102 CCR5 promoter allele;

10 a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 7, a 363 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 9, a 367 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 11, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 5 & 13 and a 309 bp  
15 amplicon with experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0103 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with  
20 experimental primer combination SEQ ID Nos. 14 & 16 is indicative of a P\*0201 CCR5 promoter allele;

a 363 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 8, a 412 bp amplicon with experimental primer combination SEQ ID Nos. 6 & 13 and a 309 bp amplicon with

experimental primer combination SEQ ID Nos. 15 & 16 is indicative of a P\*0202 CCR5 promoter allele;

(g) determining a CCR genotype for each sample based upon said CCR alleles indicated following step (f); and

5 (h) analyzing said genotypes determined in step (g), thereby genotyping said representative number of individuals with respect to said risk group assigned each individual, thereby correlating CCR genotypes with HIV-1 transmission and/or disease progression.

10 18. The method of claim 17, wherein said biological samples are selected from the group consisting of blood, serum, saliva, semen, tissue biopsy and DNA.

15 19. The method of claim 17, wherein said separation is selected from the group consisting of gel electrophoresis and size fractionation.

20 20. The method of claim 17, wherein said amplifying is by polymerase chain reaction (PCR).

21. The method of claim 17, wherein said analyzing is by means selected from the group consisting of two-tailed Fisher's exact test, multiple logistic regression analysis, univariate analysis and multivariate analyses.

22. An oligonucleotide selected from the group consisting of SEQ ID Nos. 2-16.

a

46295G/A  
= CCR2b-64V/I

59045A - 59451A  
= CCR5 promoter

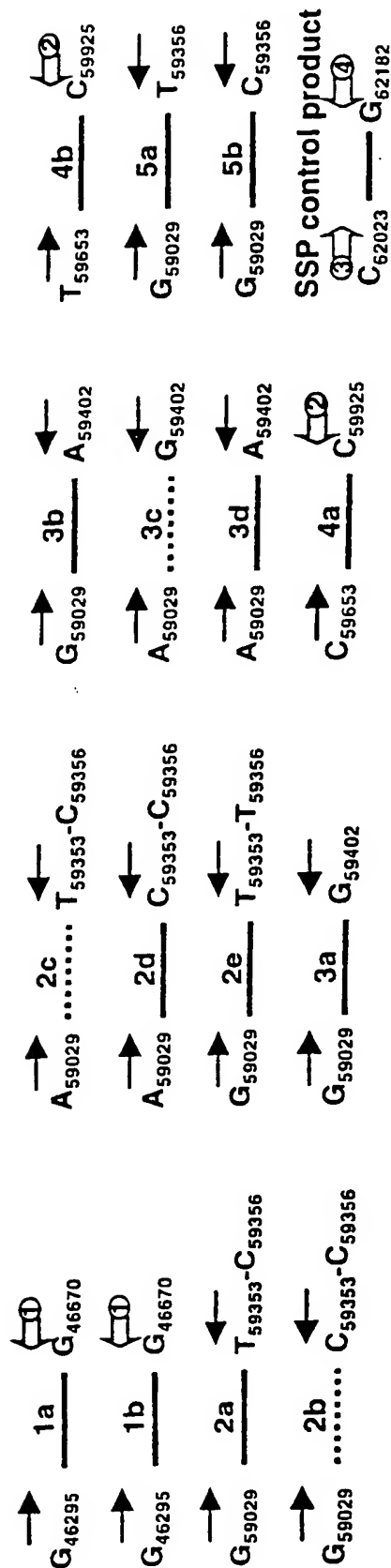
62036G - 62067A deletion  
= CCR5-Δ32



b

P*0101:	G <sub>59029</sub>	T <sub>59353</sub> -C <sub>59356</sub> -G <sub>59402</sub>	G <sub>59648</sub> -C <sub>59653</sub>	(=P4)	Seq ID NO. 24
P*0102:	G <sub>59029</sub>	T <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub>	G <sub>59648</sub> -C <sub>59653</sub>	(=P2)	Seq ID NO. 25
P*0103:	G <sub>59029</sub>	T <sub>59353</sub> -T <sub>59356</sub> -A <sub>59402</sub>	G <sub>59648</sub> -C <sub>59653</sub>	(=P3)	Seq ID NO. 26
P*0104:	G <sub>59029</sub>	T <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub>	A <sub>59648</sub> -C <sub>59653</sub>	(=P2)	Seq ID NO. 27
P*0201:	A <sub>59029</sub>	C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub>	G <sub>59648</sub> -C <sub>59653</sub>	(=P1)	Seq ID NO. 28
P*0202:	A <sub>59029</sub>	C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub>	G <sub>59648</sub> -T <sub>59653</sub>	(=P1)	Seq ID NO. 29

c





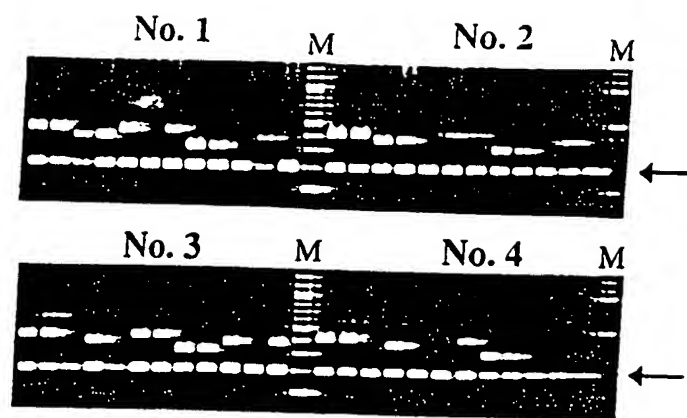


FIGURE 2

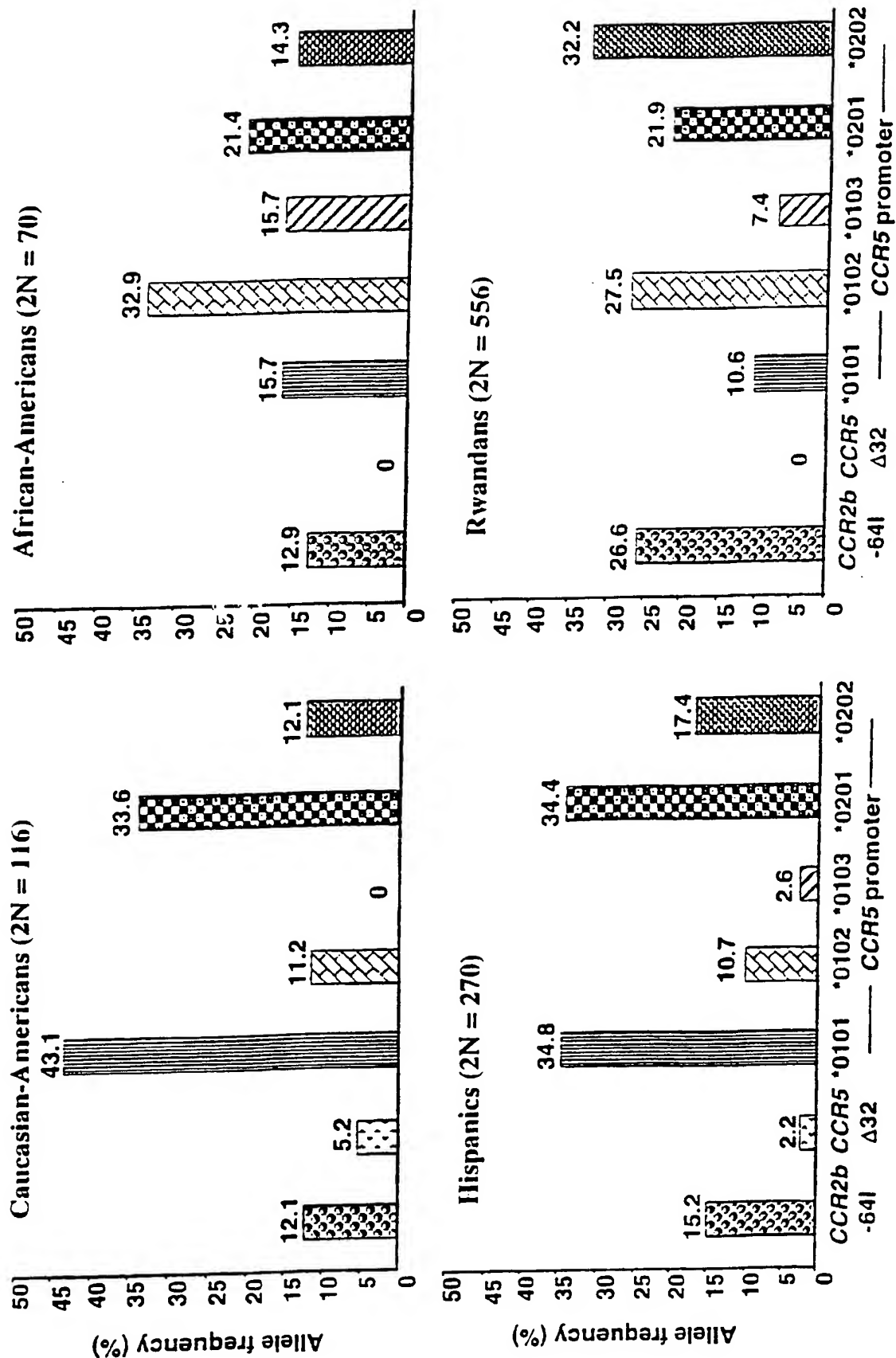


FIGURE 3

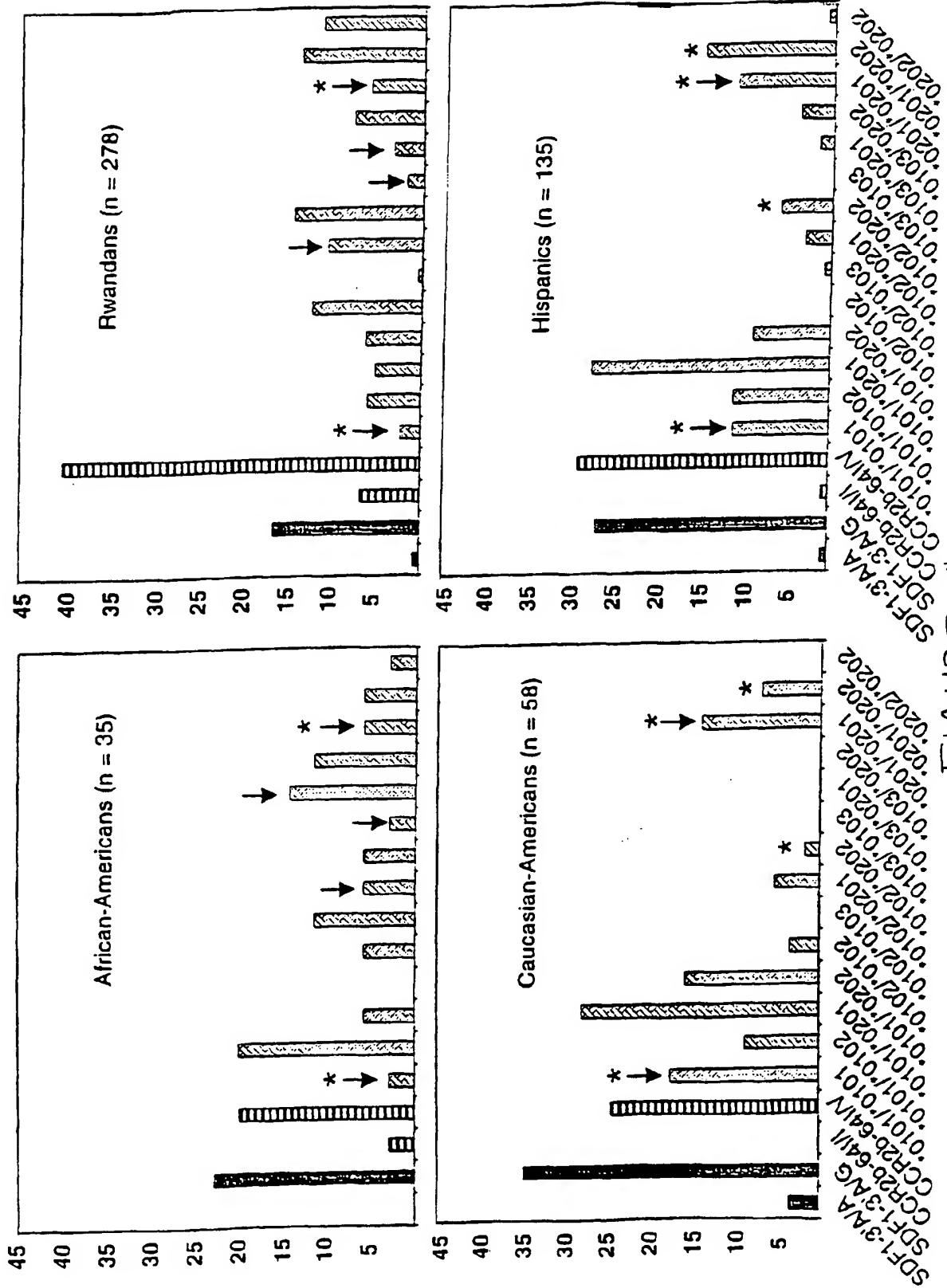
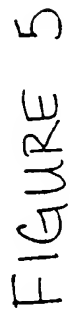


FIGURE 4



a

P*0101	G <sub>59029</sub> -T <sub>59353</sub> -C <sub>59356</sub> -G <sub>59402</sub> -C <sub>59653</sub>	SEQ ID NO. 19
P*0102	G <sub>59029</sub> -T <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -C <sub>59653</sub>	SEQ ID NO. 20
P*0103	G <sub>59029</sub> -T <sub>59353</sub> -T <sub>59356</sub> -A <sub>59402</sub> -C <sub>59653</sub>	SEQ ID NO. 21
P*0201	A <sub>59029</sub> -C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -C <sub>59653</sub>	SEQ ID NO. 22
P*0202	A <sub>59029</sub> -C <sub>59353</sub> -C <sub>59356</sub> -A <sub>59402</sub> -T <sub>59653</sub>	SEQ ID NO. 23

b

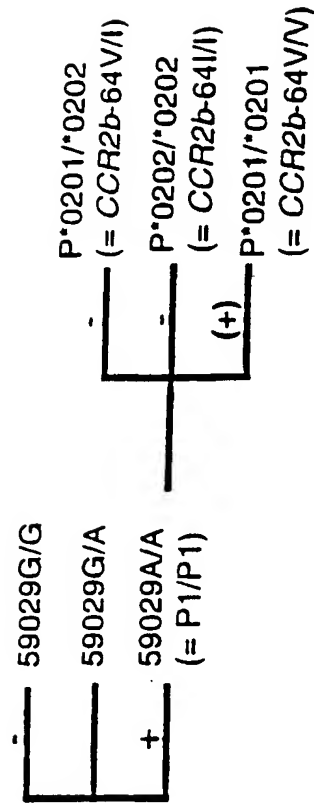


FIGURE 6

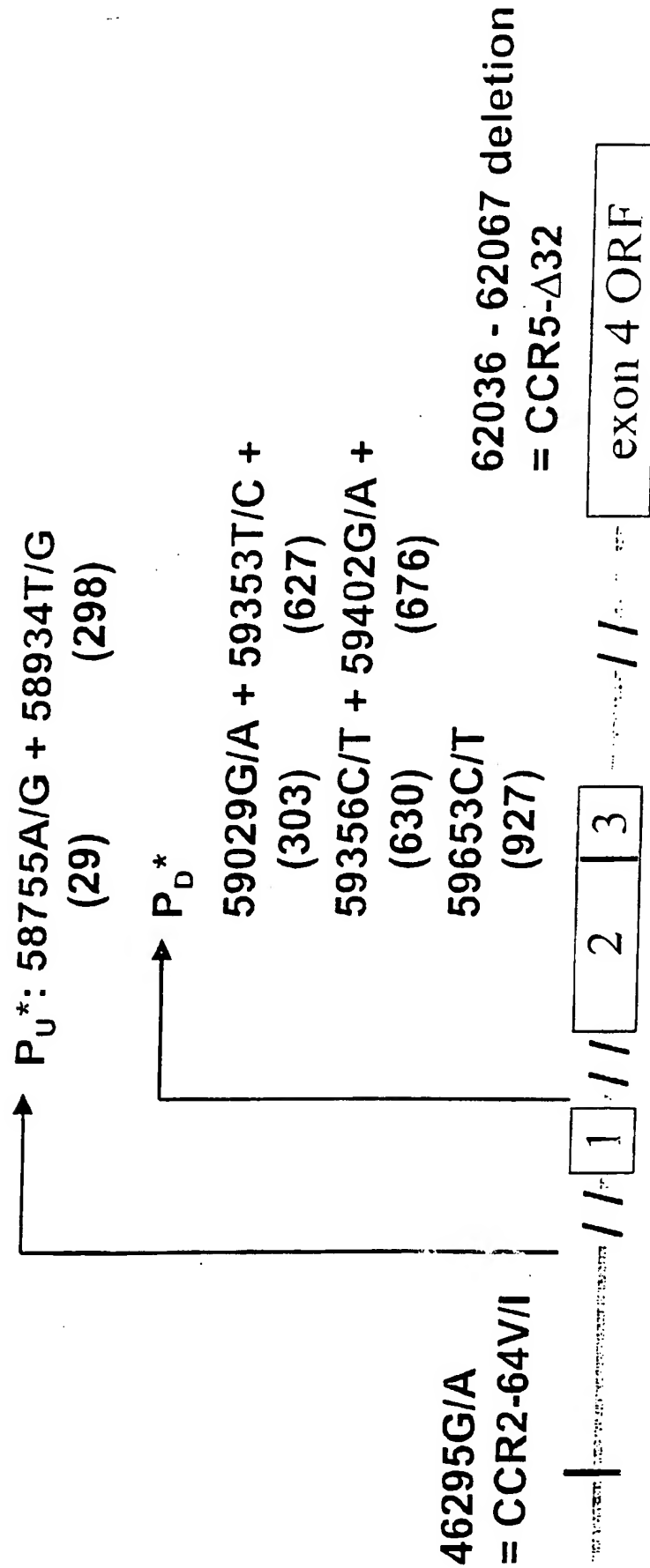
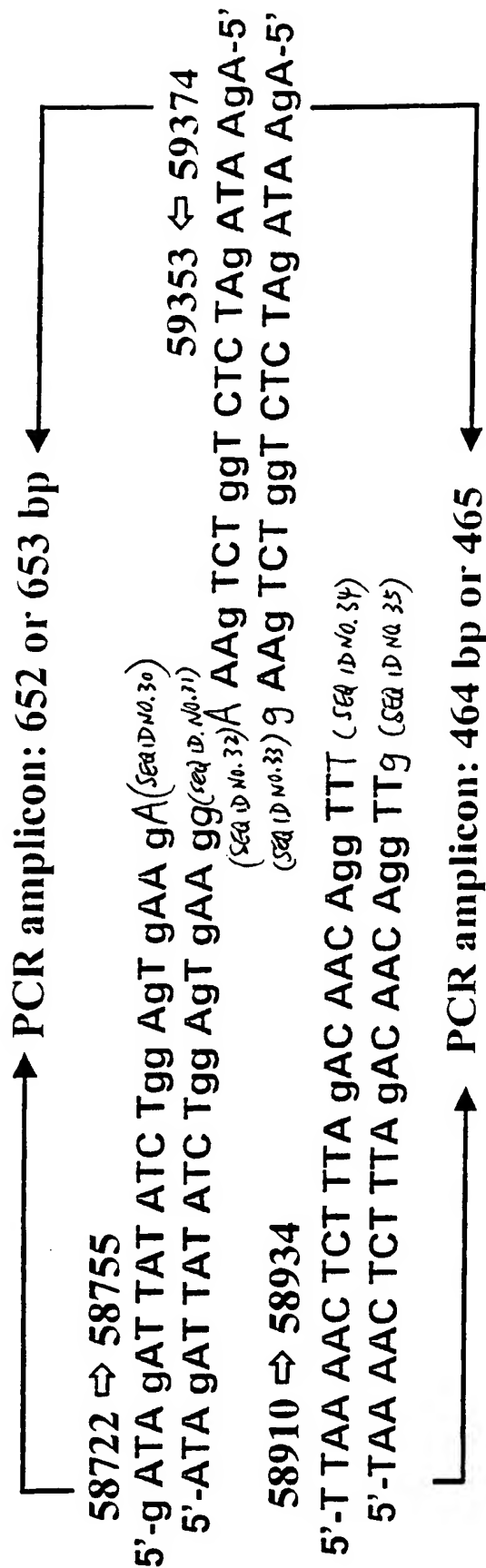


Fig. 7



**Alleles:**

1. 58755A-58934T-59353T	5. 58755G-58934T-59353T DNE
2. 58755A-58934T-59353C DNE	6. 58755G-58934T-59353C DNE
3. 58755A-58934G-59353T	7. 58755G-58934G-59353T DNE
4. 58755A-58934G-59353C	8. 58755G-58934G-59353C

**DNE: Does not exist.**

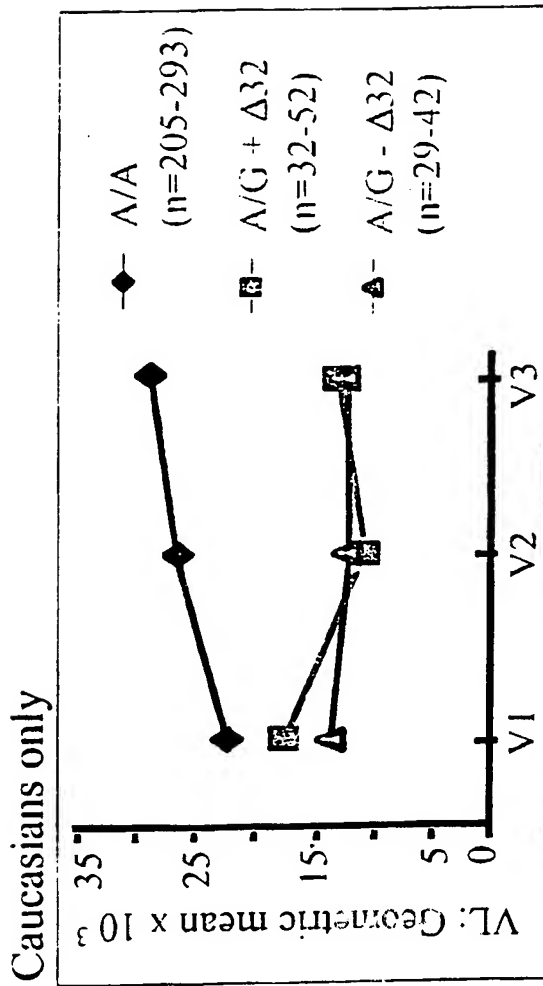
Fig. 8

CCR2	CCR5 P <sub>U</sub>	CCR5 P <sub>D</sub>	CCR5
<u>64</u>	<u>29</u> <u>208</u>	<u>303</u> <u>627</u> <u>630</u> <u>676</u> <u>927</u>	
V	A G	G T C A C	WT = HHA <sup>2</sup>
V	A T	A T C A C	WT = HHB (rare)
V	A T	G T C G C	WT = HHC
V	A T	G T T A C	WT = HHD (rare)
V	A G	A C C A C	WT = HHE
V	A G	A C C A T	WT = HHF*1 (rare)
I	A G	A C C A T	WT = HHF*2
V	G G	A C C A C	WT = HHG*1
V	G G	A C C A C	Δ32 = HHG*2

\* For any given haplotype the recombination rate is < 1%.

Fig. 9





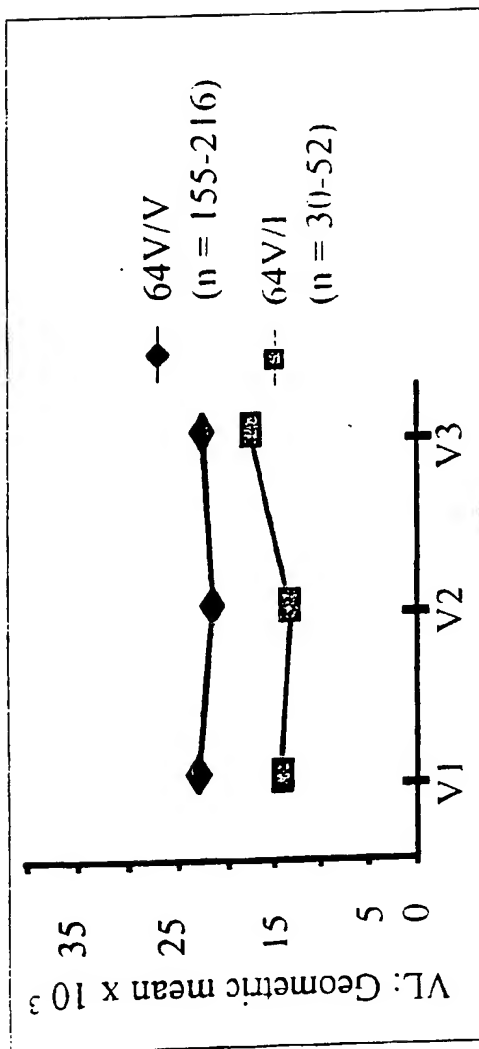
	V1	V2	V3
29A/A	4.36 (0.85)	4.42 (0.65)	4.44 (0.69)
29A/G + $\Delta 32$	4.25 (0.88)	4.05 (0.81)	4.12 (0.62)
29A/G - $\Delta 32$	4.28 (0.89)	4.10 (0.78)	4.10 (0.62)

Log<sub>10</sub> VL:  
Mean (SD)

P at V2 and V3  
< 0.001.

Fig. 10A

# Caucasians only



# Caucasians and African-Americans

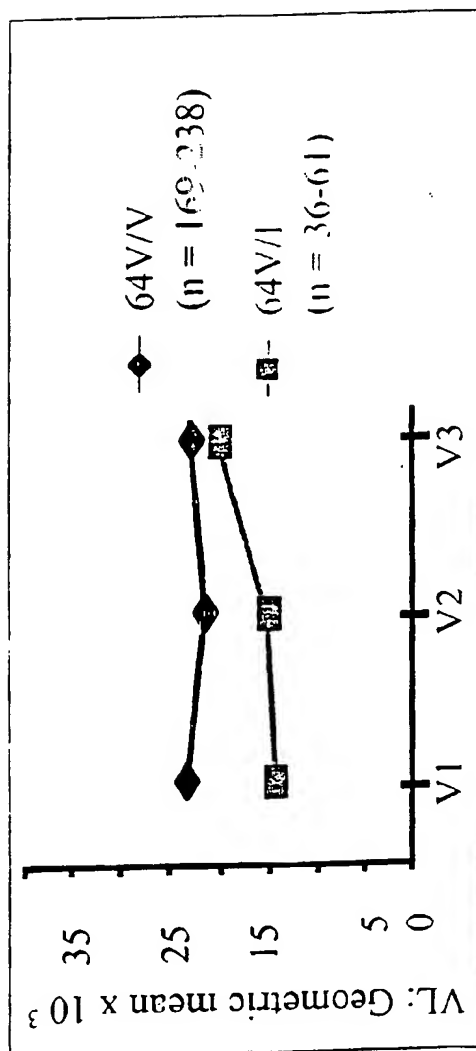


Fig. 10B

Log<sub>10</sub> VL: Mean (SD) in Caucasians

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHA or HHB (n=28-42)	4.40 (0.90)	4.35 (0.75)	4.29 (0.85)
HHC (n=94-126)	4.41 (0.83)	4.27 (0.72)	4.34 (0.71)
HHE (n=98-144)	4.32 (0.87)	4.33 (0.72)	4.35 (0.70)
HHF*2 (n=32-55)	4.16 (0.80)	4.13 (0.68)	4.22 (0.79)
HHG*1 (n=29-42)	4.28 (0.89)	4.10 (0.78)	4.10 (0.62)
HHG*2 ( $\Delta$ 32) (n=32-52)	4.25 (0.88)	4.05 (0.81)	4.12 (0.62)

Fig. 11A

# Log<sub>10</sub> VL: Mean (SD) and Geometric Mean (GM) in Caucasians

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHG*2/HHF*2 (n=4-8) GM =	4.13 (0.90) 13,490	3.34 (0.52) <sup>1</sup> 2,188	3.12 (0.52) <sup>1</sup> 1,318
HHG2 w/o HHF*2 (n=29-42) GM =	4.28 (0.89) 19,055	4.10 (0.79) <sup>2</sup> 12,589	4.11 (0.61) <sup>2</sup> 12,882
HHF*2 w/o HHG*2 (n=28-47) GM =	4.17 (0.79) 14,791	4.22 (0.64) 16,596	4.37 (0.69) 23,442
All others (n=126-174) GM =	4.39 (0.84) 24,547	4.38 (0.71) 23,988	4.41 (0.71) 25,704

<sup>1</sup> VL differed at visits 2 and 3 ( $P = 0.0008$  and  $0.0002$ , respectively)

<sup>2</sup>  $P$  at visits 2 and 3 =  $0.0342$  and  $0.0405$ , respectively.

Fig. 11B

Log<sub>10</sub> VL: Mean (SD) and Geometric Mean (GM) in Caucasians

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHG*2/HHF*2	4.13 (0.90)	3.34 (0.52)	3.12 (0.52)
(n=4-8)      GM =	13,490	2,188	1,318
HHE/HHE	4.43 (0.90)	4.69 (0.46) <sup>1</sup>	4.59 (0.43) <sup>1</sup>
(n=3-31)      GM =	26,915	48,978	38,904
Others	4.32 (0.84)	4.26 (0.73)	4.32 (0.72)
(n=74-232)      GM =	20,796	18,197	21,086

<sup>1</sup> HHE/HHE had the highest VL at visits 2 and 3 (*P* = 0.007 - 0.1).

Fig. 12

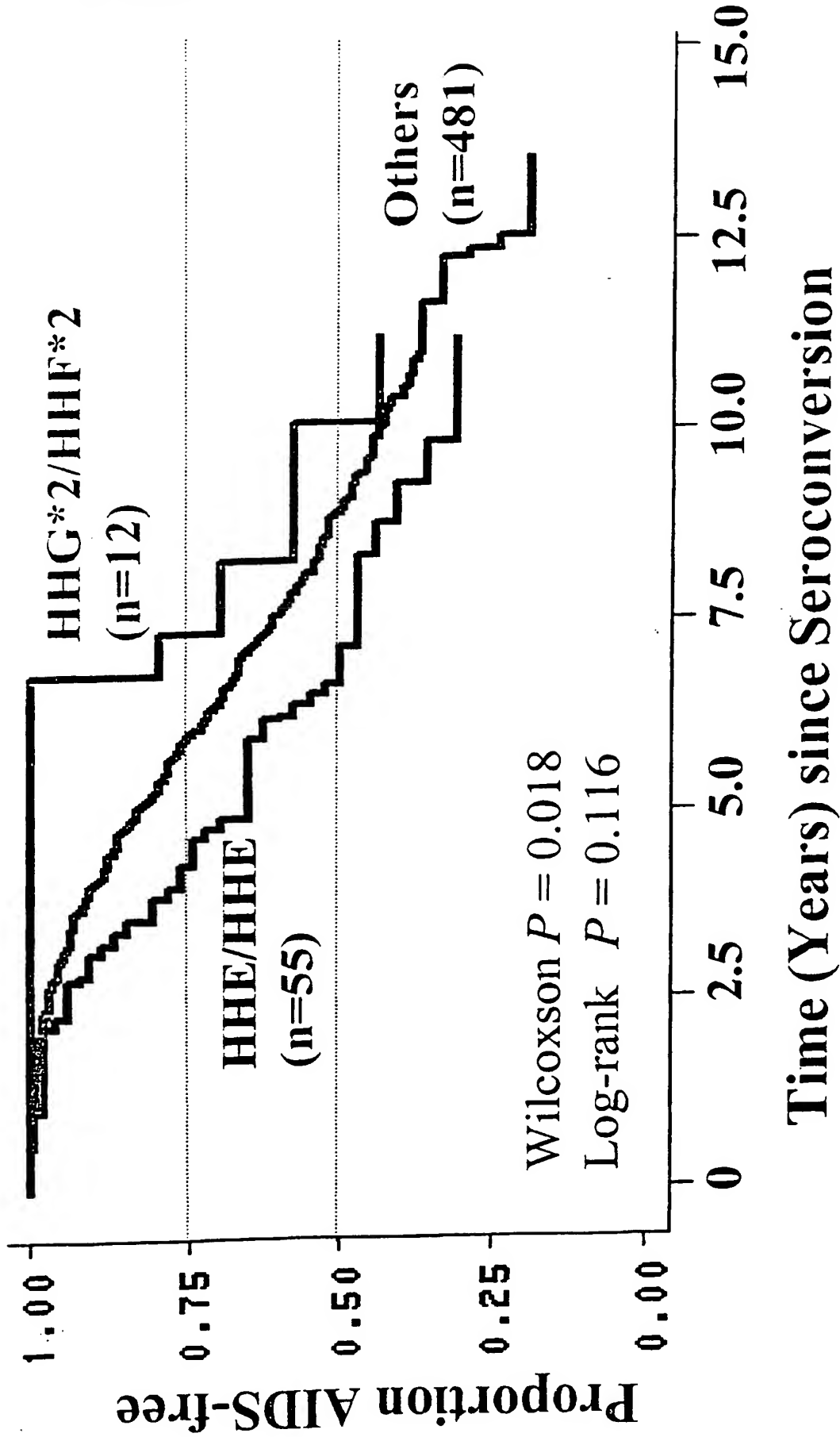


Fig. 13

## SEQUENCE LISTING

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Kaslow, Richard A.  
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Receptor Variants and Their Association With HIV-  
1 Transmission and/or Disease Progression  
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&lt;212&gt; DNA

&lt;213&gt; Artificial Sequence

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SEQ 2/11



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&lt;400&gt; 33

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## PATENT COOPERATION TREATY

## PCT

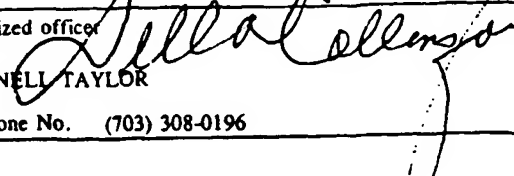
## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

(PCT Article 17(2)(a), Rules 13ter and 39)

Applicant's or agent's file reference D6217PCT	IMPORTANT DECLARATION	Date of mailing (day/month/year) <b>01 NOV 2000</b>
International application No. PCT/US00/22255	International filing date (day/month/year) 11 AUGUST 2000	(Earliest) Priority Date (day/month/year) 12 AUGUST 1999
International Patent Classification (IPC) or both national classification and IPC IPC(7): C12Q 1/68 US CL: 435/6		
Applicant UAB RESEARCH FOUNDATION		

This International Searching Authority hereby declares, according to Article 17(2)(a), that no international search report will be established on the international application for the reasons indicated below.

1. ☐ The subject matter of the international application relates to:
- a. ☐ scientific theories.
  - b. ☐ mathematical theories.
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☐ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods practiced on the human or animal body.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☐ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
- ☐ the description ☐ the claims ☐ the drawings
3. ☒ The failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions prevents a meaningful search from being carried out.
- ☐ the written form has not been furnished or does not comply with the standard.
- ☒ the computer readable form has not been furnished or does not comply with the standard.
4. Further comments:

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer  JANELL TAYLOR
Facsimile No. (703) 305-3230	Telephone No. (703) 308-0196
Form PCT/ISA/203 (July 1998)*	

a

46295G/A  
= CCR2b-64V/A

59045A - 59451A  
= CCR5 promoter

62036G - 62067A deletion  
= CCR5-Δ32



b

P*0101:	G <sub>59029</sub>	T <sub>59353</sub>	-C <sub>59356</sub>	-G <sub>59402</sub>	G <sub>59649</sub>	-C <sub>59653</sub>	(=P4)	Seq ID No. 24
P*0102:	G <sub>59029</sub>	T <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	G <sub>59648</sub>	-C <sub>59653</sub>	(=P2)	Seq ID No. 25
P*0103:	G <sub>59029</sub>	T <sub>59353</sub>	-T <sub>59356</sub>	-A <sub>59402</sub>	G <sub>59649</sub>	-C <sub>59653</sub>	(=P3)	Seq ID No. 26
P*0104:	G <sub>59029</sub>	T <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	A <sub>59648</sub>	-C <sub>59653</sub>	(=P2)	Seq ID No. 27
P*0201:	A <sub>59029</sub>	C <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	G <sub>59648</sub>	-C <sub>59653</sub>	(=P1)	Seq ID No. 28
P*0202:	A <sub>59029</sub>	C <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	G <sub>59649</sub>	-T <sub>59653</sub>	(=P1)	Seq ID No. 29

c

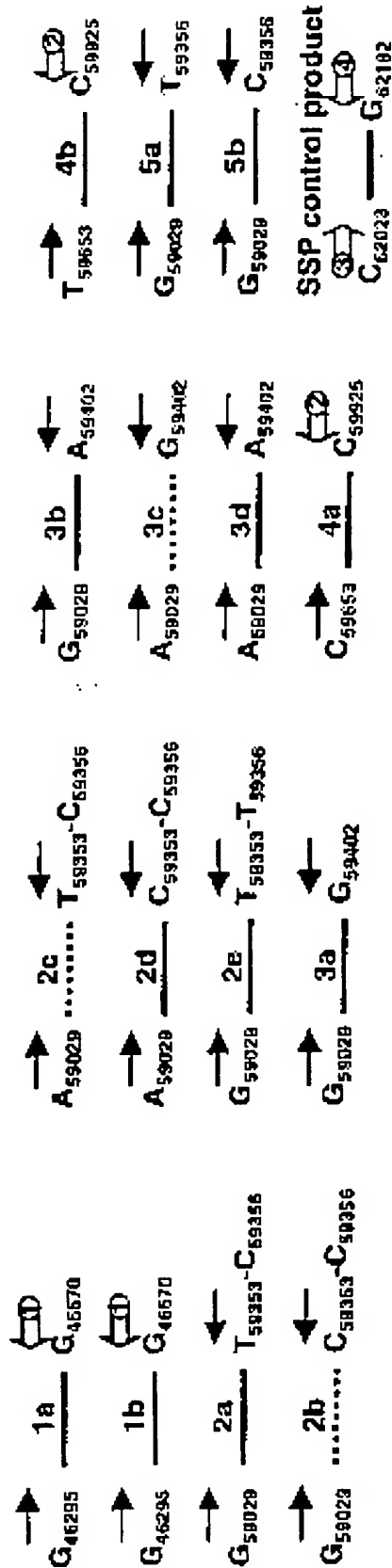


Figure 1

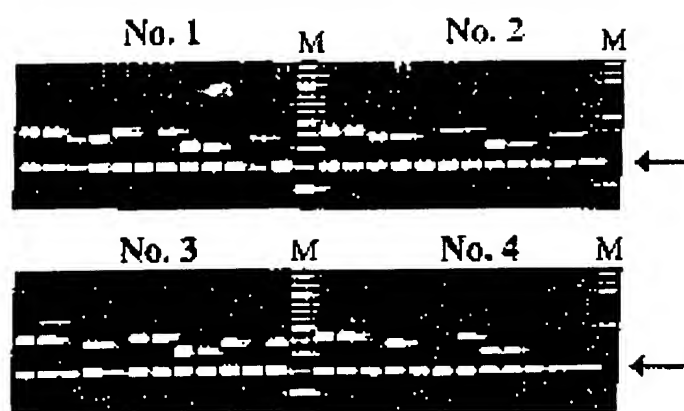


FIGURE 2

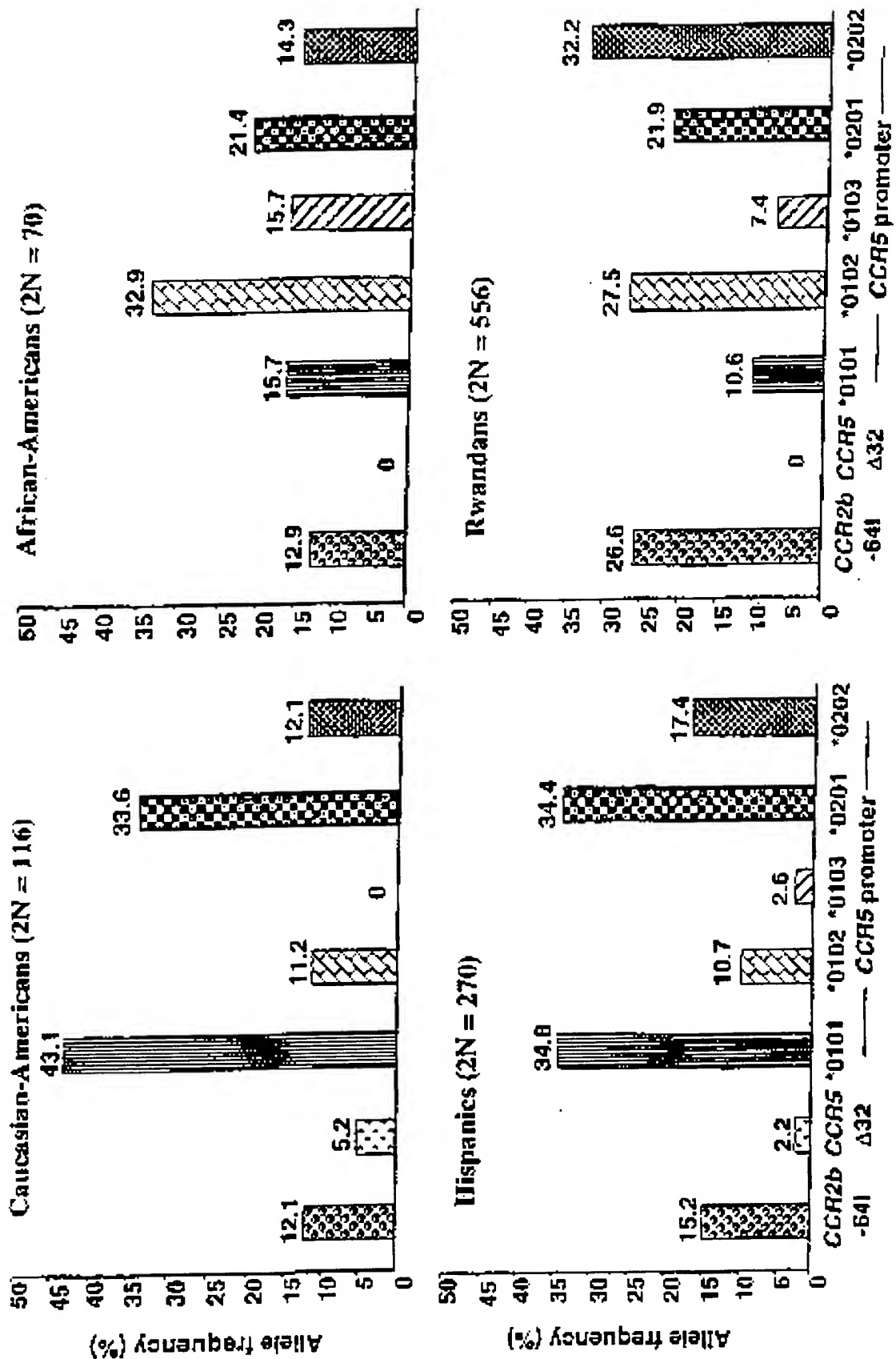


FIGURE 3

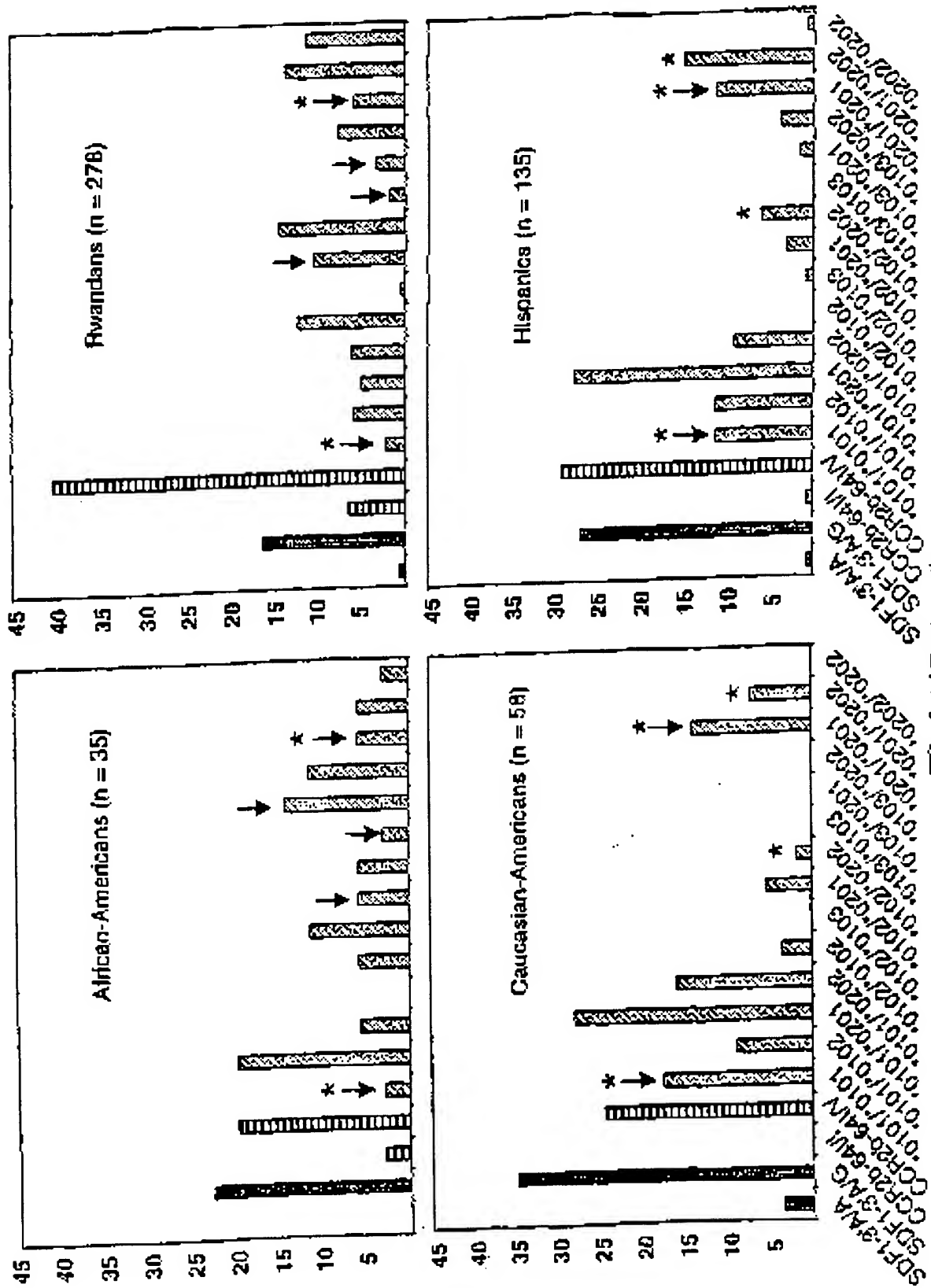


FIGURE 4

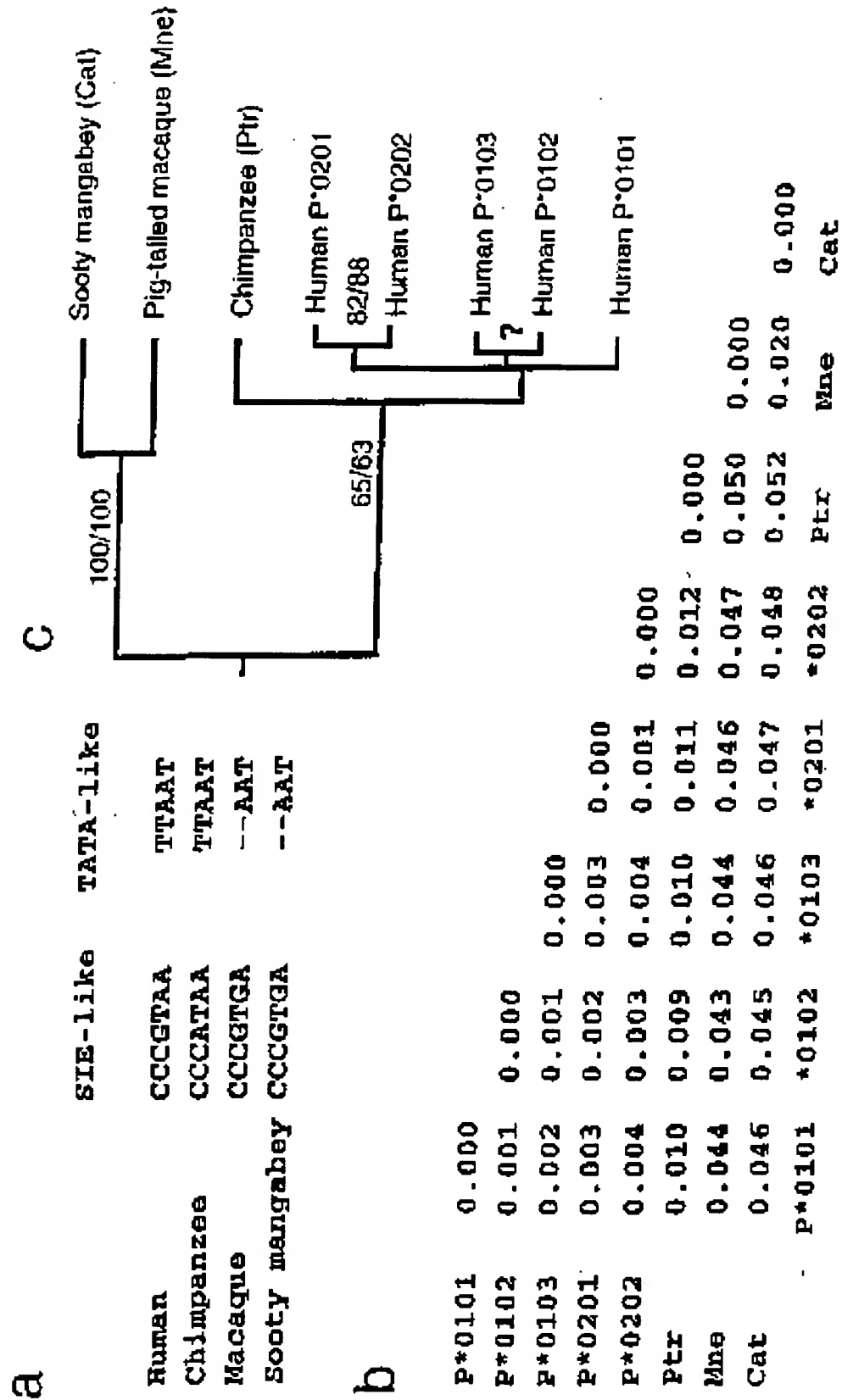


FIGURE 5

a

P*0101	G <sub>59029</sub>	-T <sub>59353</sub>	-C <sub>59356</sub>	-G <sub>59402</sub>	-C <sub>59653</sub>	SEQ ID NO. 19
P*0102	G <sub>59029</sub>	-T <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	-C <sub>59653</sub>	SEQ ID NO. 20
P*0103	G <sub>59029</sub>	-T <sub>59353</sub>	-T <sub>59356</sub>	-A <sub>59402</sub>	-C <sub>59653</sub>	SEQ ID NO. 21
P*0201	A <sub>59029</sub>	-C <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	-C <sub>59653</sub>	SEQ ID NO. 22
P*0202	A <sub>59029</sub>	-C <sub>59353</sub>	-C <sub>59356</sub>	-A <sub>59402</sub>	-T <sub>59653</sub>	SEQ ID NO. 23

b

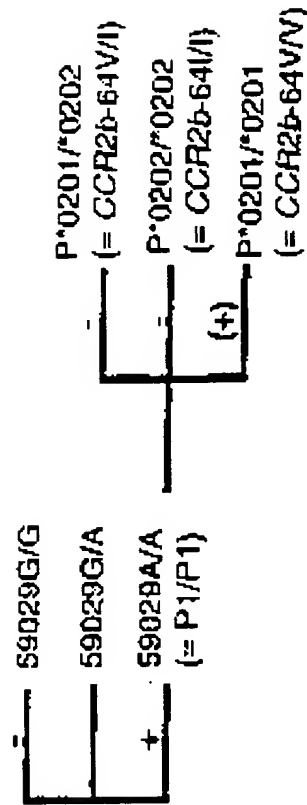


FIGURE 6



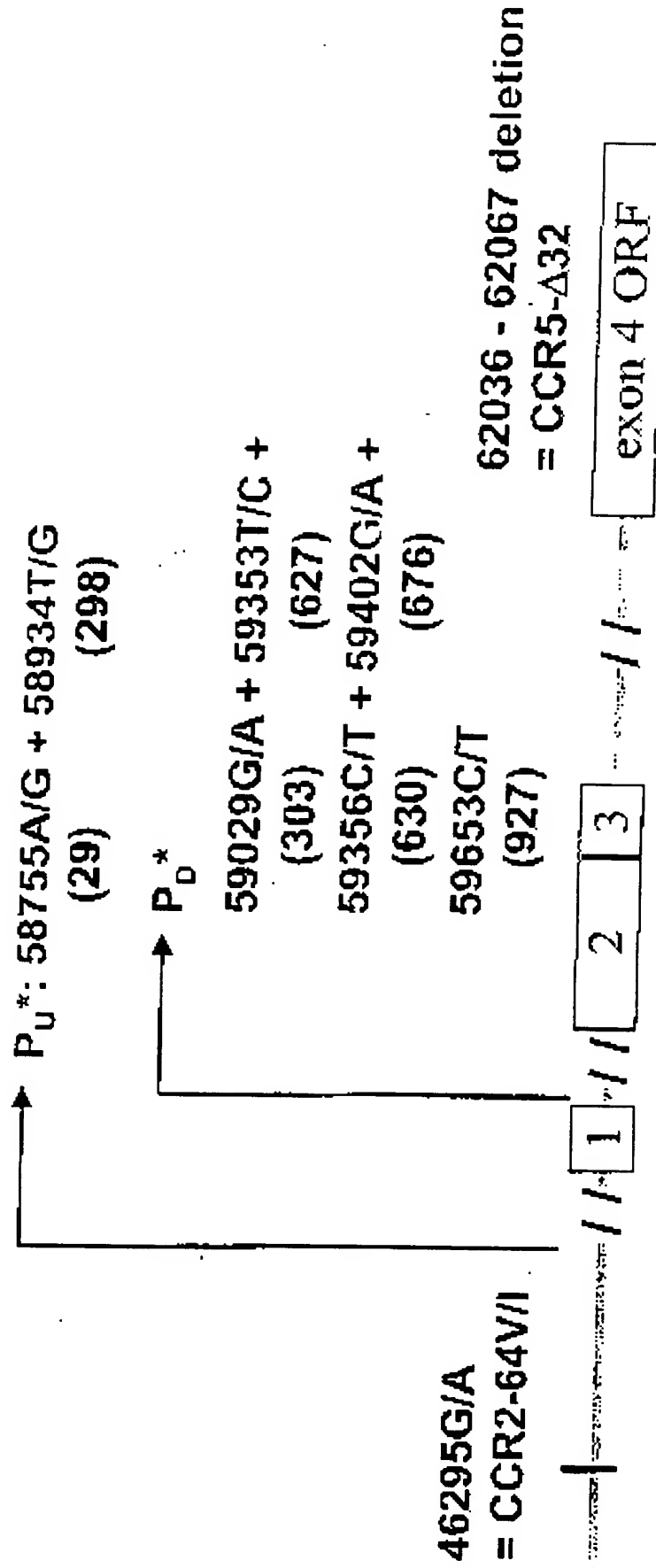
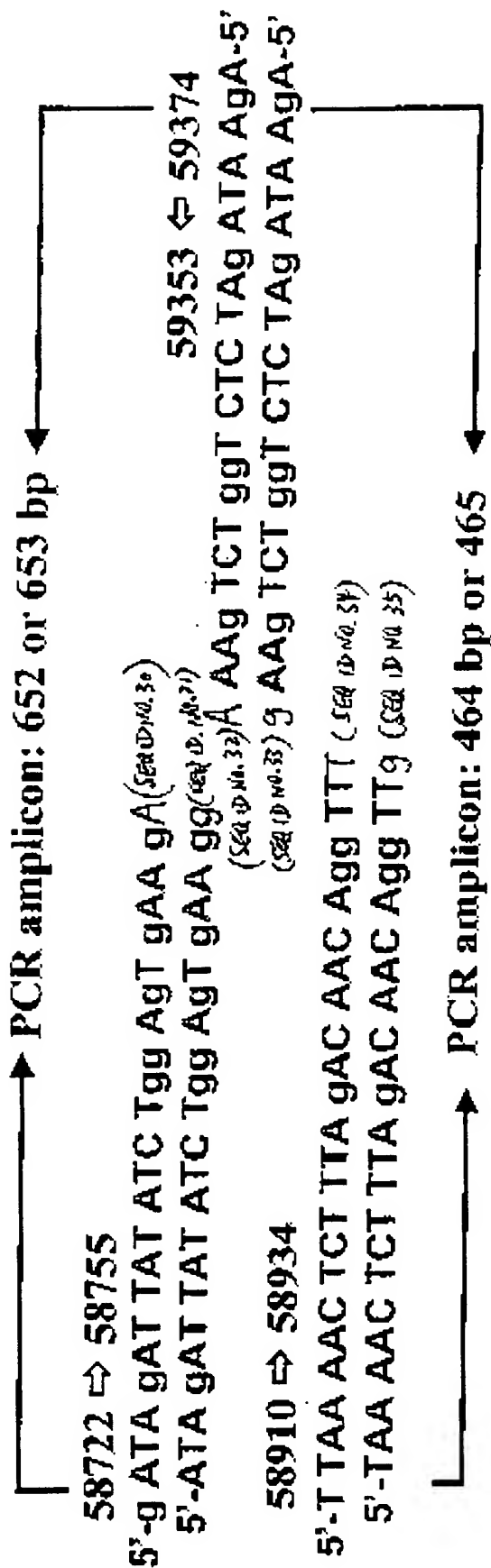


Fig. 7



**Alleles:**

1. 58755A-58934T-59353T	5. 58755G-58934T-59353T DNE
2. 58755A-58934T-59353C DNE	6. 58755G-58934T-59353C DNE
3. 58755A-58934G-59353T	7. 58755G-58934G-59353T DNE
4. 58755A-58934G-59353C	8. 58755G-58934G-59353C

**DNE: Does not exist.**

Fig. 8

## CCR5

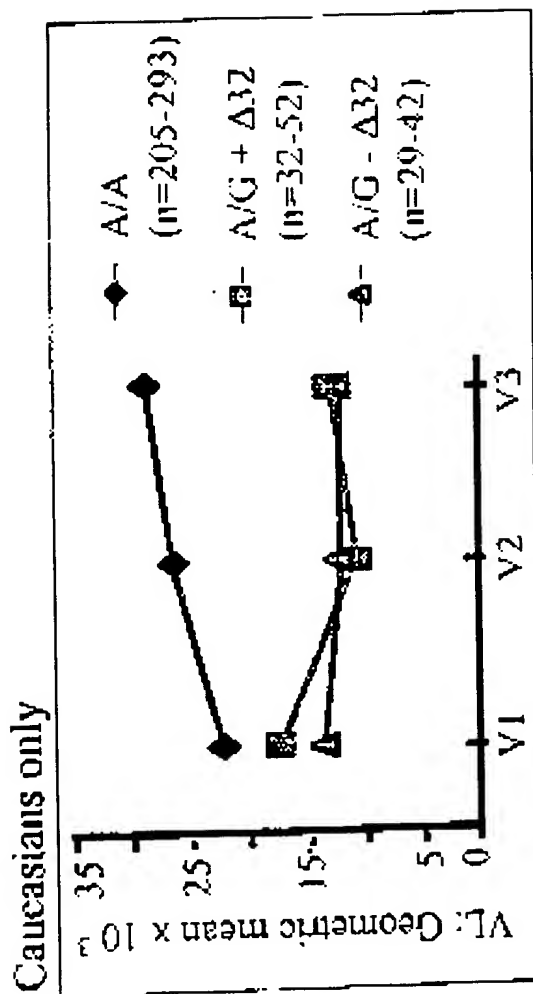
CCR2 CCR5 P<sub>U</sub> CCR5 P<sub>D</sub>

64 29 208 303 627 630 676 927

V	A	G	G	T	C	A	C	(*0102) <sup>1</sup>	WT = HHA <sup>2</sup>
V	A	T	A	T	C	A	C	(*0102)	WT = HHB (rare)
V	A	T	G	T	C	G	C	(*0101)	WT = HHC
V	A	T	G	T	T	A	C	(*0103)	WT = HHD (rare)
V	A	G	A	C	C	A	C	(*0201)	WT = HHE
V	A	G	A	C	C	A	T	(*0202)	WT = HHF*1 (rare)
I	A	G	A	C	C	A	T	(*0202)	WT = HHF*2
V	G	G	A	C	C	A	C	(*0201)	WT = HHG*1
V	G	G	A	C	C	A	C	(*0201)	Δ32 = HHG*2

\* For any given haplotype the recombination rate is < 1%.

Fig. 9



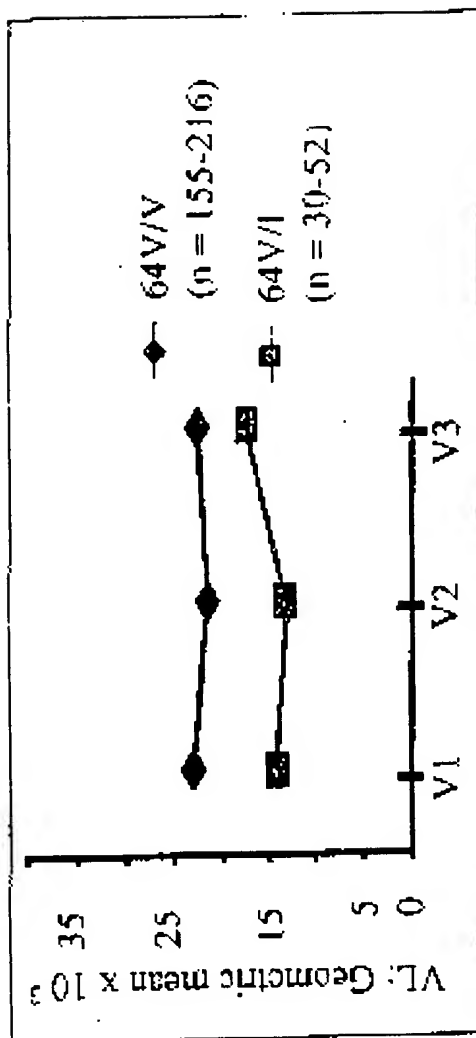
**Log<sub>10</sub> VL:  
Mean (SD)**

	V1	V2	V3
29A/A	4.36 (0.85)	4.42 (0.65)	4.44 (0.69)
29A/G + $\Delta 32$	4.25 (0.88)	4.05 (0.81)	4.12 (0.62)
29A/G - $\Delta 32$	4.28 (0.89)	4.10 (0.78)	4.10 (0.62)

**P at V2 and V3  
< 0.001.**

*Fig. 10A*

# Caucasians only



# Caucasians and African-Americans

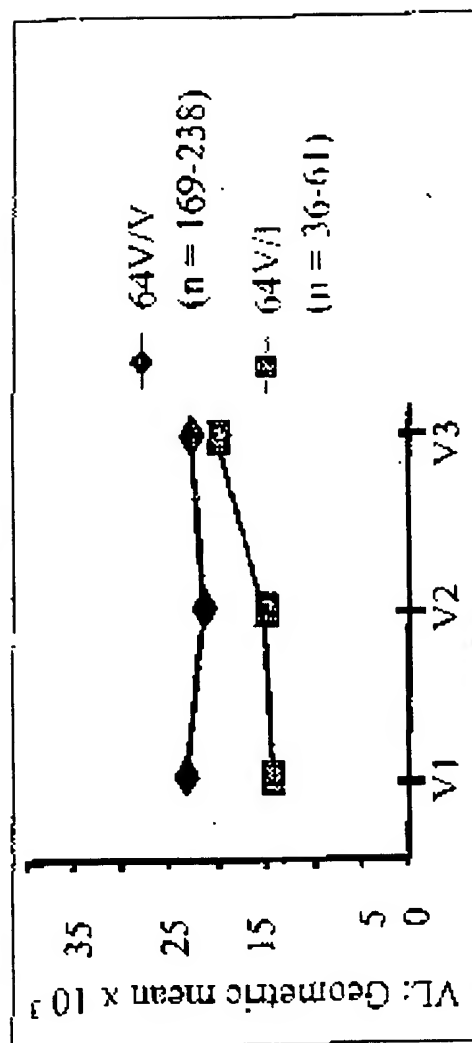


Fig. 10B

**Log<sub>10</sub> VL: Mean (SD) in Caucasians**

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHB or HHB (n=28-42)	4.40 (0.90)	4.35 (0.75)	4.29 (0.85)
HHC (n=94-126)	4.41 (0.83)	4.27 (0.72)	4.34 (0.71)
HHE	4.32 (0.87)	4.33 (0.72)	4.35 (0.70)
(n=98-144)			
HHF*2	4.16 (0.80)	4.13 (0.68)	4.22 (0.79)
(n=32-55)			
HHG*1	4.28 (0.89)	4.10 (0.78)	4.10 (0.62)
(n=29-42)			
HHG*2 (Δ32)	4.25 (0.88)	4.05 (0.81)	4.12 (0.62)
(n=32-52)			

Fig. 11A

# Log<sub>10</sub> VL: Mean (SD) and Geometric Mean (GM) in Caucasians

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHG*2/HHF*2 (n=4-8)      GM =	4.13 (0.90) 13,490	3.34 (0.52) <sup>1</sup> 2,188	3.12 (0.52) <sup>1</sup> 1,318
HHG2 w/o HHF*2 (n=29-42)      GM =	4.28 (0.89) 19,055	4.10 (0.79) <sup>2</sup> 12,589	4.11 (0.61) <sup>2</sup> 12,882
HHF*2 w/o HHG*2 (n=28-47)      GM =	4.17 (0.79) 14,791	4.22 (0.64) 16,596	4.37 (0.69) 23,442
All others (n=126-174)      GM =	4.39 (0.84) 24,547	4.38 (0.71) 23,988	4.41 (0.71) 25,704

<sup>1</sup> VL differed at visits 2 and 3 ( $P = 0.0008$  and  $0.0002$ , respectively)

<sup>2</sup>  $P$  at visits 2 and 3 =  $0.0342$  and  $0.0405$ , respectively.

*Fig. 11B*

# Log<sub>10</sub> VL: Mean (SD) and Geometric Mean (GM) in Caucasians

	<u>Visit 1</u>	<u>Visit 2</u>	<u>Visit 3</u>
HHG*2/HHF*2	4.13 (0.90)	3.34 (0.52)	3.12 (0.52)
(n=4-8)	GM = 13,490	2,188	1,318
HHHE/HHE	4.43 (0.90)	4.69 (0.46) <sup>1</sup>	4.59 (0.43) <sup>1</sup>
(n=3-31)	GM = 26,915	48,978	38,904
Others	4.32 (0.84)	4.26 (0.73)	4.32 (0.72)
(n=74-232)	GM = 20,796	18,197	21,086

<sup>1</sup> HHHE/HHE had the highest VL at visits 2 and 3 ( $P = 0.007 - 0.1$ ).

Fig. 12



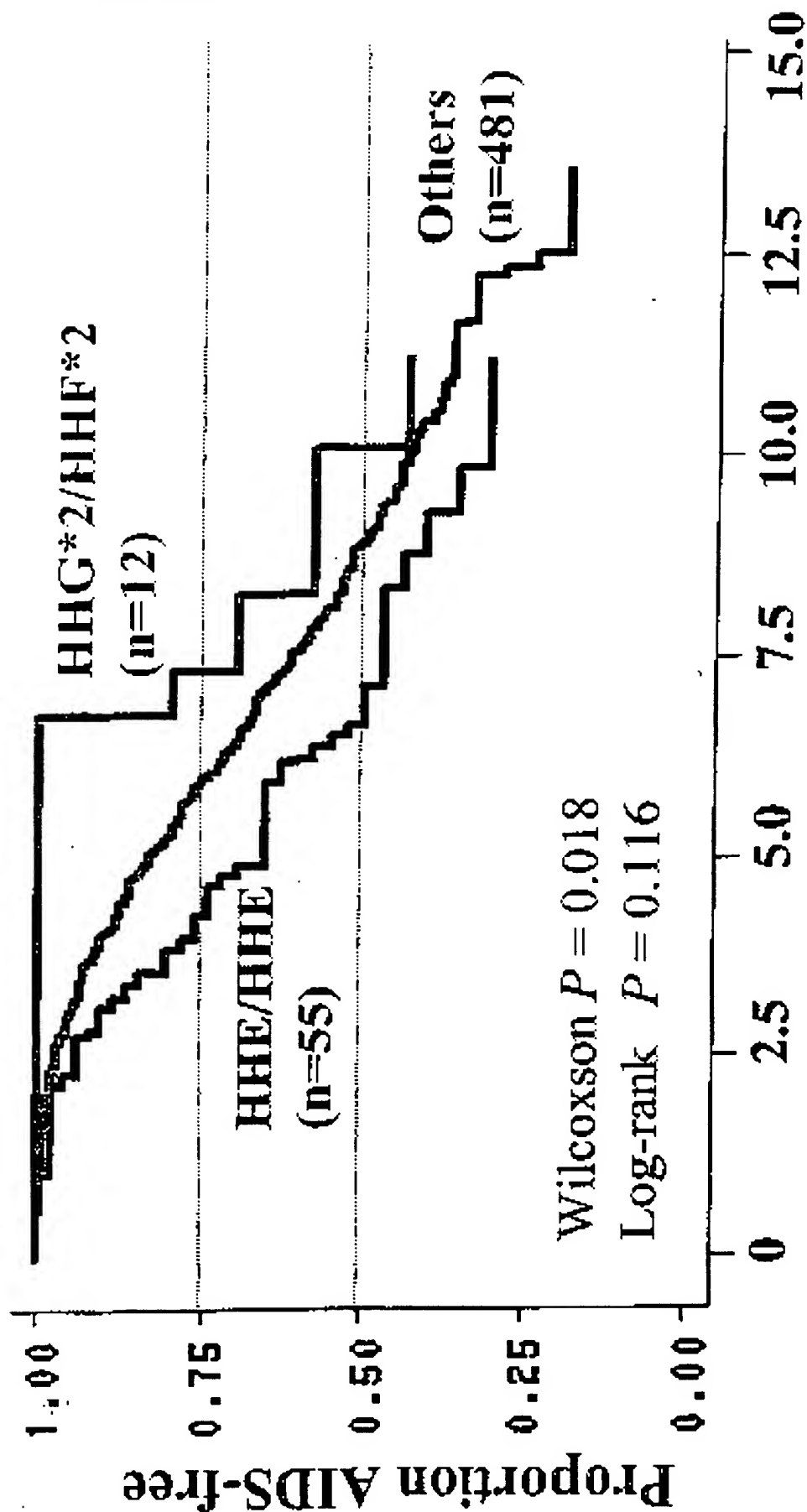


Fig. 13

## SEQUENCE LISTING

<110> Tang, Jianming  
Kaslow, Richard A.  
<120> Methods of Surveying For CC (Beta) Chemokine  
Receptor Variants and Their Association With HIV-  
1 Transmission and/or Disease Progression  
<130> D6217PCT  
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<141> 2000-08-11  
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SEQ 11/11

# PATENT COOPERATION TREATY

## PCT

### DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT (PCT Article 17(2)(a), Rules 13ter and 39)

Applicant's or agent's file reference <b>D6217PCT</b>	<b>IMPORTANT DECLARATION</b>	Date of mailing (day/month/year) <b>01 NOV 2000</b>
International application No. <b>PCT/US800/22255</b>	International filing date (day/month/year) <b>11 AUGUST 2000</b>	(Earliest) Priority Date (day/month/year) <b>12 AUGUST 1999</b>
International Patent Classification (IPC) or both national classification and IPC <b>IPC(7): C12Q 1/68 US CL: 435/6</b>		
Applicant <b>UAB RESEARCH FOUNDATION</b>		

This International Searching Authority hereby declares, according to Article 17(2)(a), that no international search report will be established on the international application for the reasons indicated below.

1. ☐ The subject matter of the international application relates to:
  - a. ☐ scientific theories.
  - b. ☐ mathematical theories.
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☐ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods practiced on the human or animal body.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☐ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
 

☐ the description
☐ the claims
☐ the drawings
3. ☒ The failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions prevents a meaningful search from being carried out.
 

☐ the written form has not been furnished or does not comply with the standard.  
☒ the computer readable form has not been furnished or does not comply with the standard.
4. Further comments:

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer  JANELL TAYLOR
Facsimile No. (703) 305-3230 Form PCT/ISA/203 (July 1998)*	Telephone No. (703) 308-0196